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## **Immunotherapy for gliomas: shedding light on progress in preclinical and clinical development**

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**ABSTRACT**

Introduction: Gliomas are infiltrating brain tumors associated with high morbidity and mortality. Current standard of care includes radiation, chemotherapy and surgical resection. Today, survival rates for malignant glioma patients remain dismal and unchanged for decades. The glioma microenvironment is highly immunosuppressive and consequently this has motivated the development of immunotherapies for counteracting this condition, enabling the immune cells within the tumor microenvironment to react against this tumor.

Areas covered: The authors discuss immunotherapeutic strategies for glioma in phase-I/II clinical trials and illuminate their mechanisms of action, limitations and key challenges. They also examine promising approaches under preclinical development.

Expert opinion: In the last decade there has been an expansion in immune-mediated anti-cancer therapies. In the glioma field, sophisticated strategies have been successfully implemented in preclinical models. Unfortunately, clinical trials have not yet yielded consistent results for glioma patients. This could be attributed to our limited understanding of the complex immune cell infiltration and its interaction with the tumor cells, the selected time for treatment, the combination with other therapies and the route of administration of the agent. Applying these modalities to treat malignant glioma is challenging, but many new alternatives are emerging to by-pass these hurdles.

**Keywords**

Antibody, CAR T-cell, checkpoint inhibitor, dendritic cells, glioma, immunosuppression, immunotherapy, nanoparticles, vaccines, virus.

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## Article Highlights

- Malignant gliomas or HGG are the most frequent tumors of the central nervous system. Even though there has been advances in their diagnosis and treatment strategies, HGG have dismal prognosis and currently remain incurable.
- It has been demonstrated that HGG display an immunosuppressive tumor microenvironment, involving the recruitment of immunomodulatory cells and the secretion of immunomodulatory cytokines.
- In the last years, there has been an expansion in the immunotherapeutic strategies designed to treat different types of cancers, and many of these are currently approved to be used in the clinic due to their significant improvement in patient survival.
- Treating glioma with an immunotherapeutic approach can be challenging due to their anatomic location, the intrinsic immunosuppressive microenvironment, and the tumor heterogeneity. However, several therapies under pre-clinical and clinical study were developed to beat these hurdles. Also, the development of new alternatives for drug delivery, such as nanoparticles, have yielded encouraging results in preclinical models.
- The development of immunotherapies against glioma is promising since pre-clinical studies in diverse immunotherapies demonstrated encouraging biological effects. However, favorable and long-lasting clinical responses remain to be seen.

## 1- INTRODUCTION

Gliomas are histologically highly heterogeneous tumors and malignant glioma represent the most frequent tumor of the central nervous system (CNS) [1, 2]. Their incidence in the USA is 6 cases per 100,000 individuals/year [1]. Taking into account both genetic alterations and epigenetic modifications, gliomas are classified integrating histological and molecular parameters to provide more accurate prognosis and treatment strategies [3]. The phenotypic-genotypic diagnostic combination criteria include histological features and genetic alterations analysis, which are considered along with clinical findings and radiological characteristics [3]. Tumor grading is used as a prognostic factor to predict response to therapy [3, 4]. Overall, grade I and II are considered “non-malignant” or low grade gliomas (LGG), whereas grade III and IV are considered “malignant” or high grade gliomas (HGG), with worst prognosis [1, 3, 5].

Among gliomas, diffuse infiltrating gliomas represent the most prevalent tumors. The most relevant molecular characteristics studied are *IDH* mutation, chromosome 1p/19q deletion, histone mutations and other genetic parameters such as *ATRX* loss, *TP53* and *TERT* mutations, as well as DNA methylation levels [3, 4]. This group of gliomas includes diffuse astrocytomas (grade II), oligodendrogliomas (grade II/III), anaplastic astrocytomas (grade III), and glioblastomas (GBM) (grade IV) [3].

GBMs are highly infiltrative and the most frequent HGG in adults (median onset 62 years old). The primary tumors are characterized by astrocytic differentiation, nuclear atypia, high mitotic rate, microvascular proliferation and necrosis. They predominate in males and the median survival (MS) is 15-18 months post-diagnosis. They exhibit WT *IDH* and common mutations as *TERT* promoter mutation, *EGFR* amplification, *CDKN2A* deletion, *TP53* loss of function mutation, *PTEN* mutation, and RTK pathways amplification [6, 7].

In the pediatric context, malignant gliomas seem similar histologically to adult disease. However, at the molecular level they are very different from the adult gliomas [8]. They are classified as pediatric anaplastic astrocytoma (grade III), GBM (grade IV) or diffuse midline glioma (DMG), which includes diffuse intrinsic pontine glioma (DIPG) [9]. Pediatric gliomas hold specific mutations associated with certain anatomic locations. For instance: H3F3AK27M is found in midline locations in DMG and H3F3AG34R/V in cerebral hemispheres [10, 11].

The current standard of care (SOC) for the treatment of primary malignant gliomas consists in maximal safe surgical resection, followed by concomitant external beam radiation and chemotherapy with Temozolomide (TMZ) during 6 weeks and then TMZ as adjuvant chemotherapy for six cycles of 150–200 mg/m<sup>2</sup>/day for the first 5 days of a 28-day cycle [12]. In some institutions, the adjuvant therapy has been extended to 12-15 months [13-17]. For LGG, the best SOC remains under revision, but current treatment also involves surgery, beam radiation and chemotherapy (which could include TMZ or a combination of procarbazine, CCNU, and vincristine) [18]. In spite of advances in diagnostic and therapeutic modalities, recurrence is almost universal for GBM. In addition, malignant transformation and recurrence for LGG is also commonly seen in the clinic [19-22].

Although the new phenotypic-molecular integrated diagnosis represents a remarkable advance for glioma's diagnosis, several challenges and limitations remain when considering treatment efficiency. This is in part evidenced by the high rate of tumor recurrence [23]. These challenges include, but are not limited to, the highly infiltrative nature of malignant glioma, which makes it a difficult tumor to resect; the presence of a blood-brain barrier (BBB), which affects drug penetration into the brain; and the intrinsically complex biology of this tumor, meaning that a proposed SOC might not be suitable in all cases [24].

Another salient challenge in glioma therapeutics is due to the presence of a highly immunosuppressive tumor microenvironment (TME) [25]. Thus, the implementation of therapies aimed to counteract immunosuppression are promising avenues for glioma treatment [26, 27]. Several studies using diverse immunotherapeutic strategies are in progress. Pre-clinical studies in immunotherapies demonstrated encouraging biological effects, but favorable clinical responses remain to be realized [27-29].

In this review we will discuss novel immunotherapies targeting the glioma TME and the efforts being directed to revert glioma-mediated immunosuppressive mechanisms. We will review immune therapeutic strategies currently being implemented from preclinical studies to Phase-II clinical trials (CTs). We will also discuss their mechanisms of action, their responsiveness or mechanisms leading to treatment resistance, their limitations and future challenges. This review includes, but is not limited to, cancer vaccines, immune checkpoint inhibitors, adoptive cellular therapy, viral therapy and combinational therapies.

## **2- CNS AND GLIOMA IMMUNE MICROENVIRONMENT**

The notion that the CNS is an “immune privileged” site was adopted after the findings that foreign tissue grafts implanted in the brain parenchyma were not rejected [30-32]. The efferent and afferent arms of the immune system were thought to be abrogated by the BBB and the lack of classical draining lymphatics, respectively [33]. However, evidence demonstrating foreign tissue rejection in the brain implanted in proximity to the ventricles and the draining of CNS antigens into the cervical lymph nodes challenged this view [32-36]. Today, experimental findings showed that the immune privilege of the CNS is not absolute, but rather relative to other organs and to the presence or absence of neuroinflammation. The particular interactions between the immune system and the CNS are related to the CNS anatomy and its compartmentalization, namely: the CNS parenchyma; the



ventricles containing cerebrospinal fluid; and the meninges [37]. It has been observed that the innate and adaptive immune response mounted in the ventricles and meninges is similar to the response in other organs [37]. Thus, the immune privilege should be associated to the brain parenchyma specifically and the distinctive features of the afferent and efferent arms involved in the neuro-immune-communication.

### **2-1- Afferent arm in the CNS-immune system interaction**

The afferent arm of the immune system refers to antigen presentation to T-cells, resulting in their proliferation and activation. In general, this is achieved in the draining lymph nodes, by the drainage of antigen-presenting cells (APC) bearing the antigen from the immune-compromised site or by the transport of the soluble antigen to the lymph node. In the absence of inflammation, there is a paucity of dendritic cells (DCs) in the brain parenchyma and, although the presence of resident macrophages, they rarely migrate to the lymph node to act as APC [33, 37]. However, brain parenchyma has soluble antigen drainage along the walls of cerebral capillaries and arteries to cervical lymph nodes [33, 37]. This perivascular pathway is probably too narrow to allow the migration of immune cells from the brain parenchyma, which may be the principal factor involved in the immune privilege of the CNS. In contrast, the direct drainage of cerebrospinal fluid to deep cervical lymph nodes allows the trafficking of T-cells, monocytes and DCs, which could explain in the immunological competence of the compartments surrounding the brain [33]. In summary, the afferent arm of the immune system in the brain lacks the classical cellular pathway, but it relies on the soluble antigen trafficking pathway.

### **2-2- Efferent arm in the CNS-immune system interaction**

Although the specificities for T-cell trafficking pathway into the brain parenchyma remain to be elucidated, activated T-cells can cross the BBB [33, 38]. Within the brain, T-cells will face diverse challenges before they can mediate the immune response, such as death by apoptosis, the presence of immunomodulatory soluble factors or the difficulties associated to antigen recognition due to low

MHC expression [33, 37]. However, the secretion of IFN $\gamma$  and TNF $\alpha$  by pre-activated T-cells can induce MHC expression in CNS residing cells, which would act as APCs [38]. When antigen recognition occurs by the T-cells, the release of pro-inflammatory molecules triggers changes in the BBB allowing the recruitment of additional immune cells into the brain. Once inflammation is established, the CNS immune privilege state switches into an inflammatory environment, resulting in increased BBB permeability, DC penetration and increased antigen trafficking into the lymph nodes [37, 38].

### **2-3- Glioma immune tumor microenvironment**

Although these data show the active interaction of the immune system with the CNS, multiple clinical trials in immunotherapy have failed to show benefits in glioma patients. One of the main reasons is related to the immunosuppressive TME that halt effective anti-glioma immune response.

Glioma TME is characterized by tissue hypoxia provided by an inappropriate increased vascularity, irregular blood flow and high oxygen consumption. Tissue hypoxia induces activation of regulatory T-cells (Tregs) and upregulation of vascular endothelial growth factor (VEGF), to promote an immunosuppressive environment [39-41]. Glioma cells also secrete immunosuppressive factors such as interleukin-6, interleukin-10, TGF- $\beta$ , and prostaglandin-E [42-45]. These factors collectively inhibit both the innate and adaptive immune systems by suppressing NK activity and T-cell activation and proliferation, inducing T-cell apoptosis, downregulating of MHC expression, and skewing tumor-associated macrophages towards an M2 (immunosuppressive) phenotype [46-48].

Myeloid cells represent the main immune cell that infiltrates glioma. We have shown that myeloid-derived suppressor cells are major immunosuppressive cells in glioma microenvironment [28, 49, 50]. Also, the number of neutrophils and their activation status correlates with glioma grade and represents a negative prognostic parameter [51]. Moreover, glioma associated macrophages and

microglia can constitute a significant proportion (around 30%) of the tumor mass [52-54]. They are recruited by a number of chemokines, including CCL2 and CX3CL1 [55-57].

Within the lymphoid cells, NK cells are the main effector cells mediating antitumor responses in glioma [58], albeit they represent a minor component in the GBM TME (about 2% of immune-infiltrating cells). We showed that NK cells can mediate an anti-glioma immune response which is suppressed by gal-1 expression in glioma cells [59]. Tregs are also found in the GBM parenchyma, which have a potent immunosuppressive capacity against anti-glioma T-cells [60]. They can be recruited by GBM secreted factors including CCL22, CCL2 or indoleamine 2,3-dioxygenase 1 (IDO1) [61-63].

In conclusion, GBM TME is enriched with immunosuppressive factors that prevent effective antitumor immunotherapy. Therefore, counteracting glioma-mediated immune suppression is a prerequisite for the development of new and more effective immunotherapies for this devastating disease.

### **3- CURRENT (ACTIVE) PHASE-I/II CLINICAL TRIALS WITH IMMUNOTHERAPEUTIC APPROACH**

This review was structured taking into account the principal immunotherapeutic approaches against glioma that are currently under Phase-I/II clinical trials (Table 1). We included the clinical trials that were found at [clinicaltrials.gov](https://clinicaltrials.gov) using the key words: “Condition or disease: glioma”; “Study type: interventional studies (clinical trials)”; “Status: Recruitment: Not yet recruiting; Active, not recruiting; Recruiting”; “Phase: Phase 1; Phase 2”. For “Other terms” we used the following words: “immune”, “vaccines”, “CART”, “dendritic cell”, “antibody”, “virus”, “PD1”, “PDL1” and “CTLA4”. Table 1 was updated in March 2020 and includes all the clinical trials found under those key words. Trials

were organized in 8 major categories: Immunosuppressive checkpoint inhibitors; Tumor associated antigens/Peptide Vaccines; Dendritic cell (DC) vaccines; Oncolytic virus; Immune Stimulatory Gene therapy; CAR T-cells; Antibody delivery; and Other immunotherapies. The therapies involving antibodies against immunosuppressive checkpoints were distinguished from “Antibody delivery” due to the large amount of clinical trials studying these agents. Finally, we have included a section dedicated to Nanotechnologies to highlight the advantages of this new method for the delivery of immune therapeutics.

### **3.1- IMMUNOSUPPRESSIVE CHECKPOINT INHIBITORS**

The immune checkpoints are inhibitory surface proteins or receptors that trigger signals to maintain the homeostasis of the immune system and the tolerance to self-antigens. These signals regulate the durability of the immune response by limiting or inhibiting T-cell activation or by inducing T-cell exhaustion [64-66]. There are two main proteins or receptors extensively studied against which there are currently approved antibodies to be used in the clinical setting for different cancers: the programmed cell death (PD-1) and its ligand PD-L1, and the cytotoxic T-lymphocyte-associated antigen-4 (CTLA-4) [65, 67, 68]. These two pathways are non-redundant and differ spatially and temporally: whilst CTLA-4 signaling occurs in the lymph node during early T-cell activation, PD-1/PD-L1 signaling occurs in effector sites on upon T-cell activation through the T-cell receptor (TCR) [64, 65, 69]. The continuous PD-1/PD-L1 interaction and its effect on T-cells represents an immune adaptation that prevents auto-immune reactions due to chronic TCR stimulation. However, this pathway can be hijacked by tumor cells expressing PD-L1 as a mechanism of immune evasion, inhibiting anti-tumor T-cell mediated immune response [69, 70].

The goal of inhibiting the checkpoint pathways is to “release the brakes” of the immune system to enhance an anti-tumor immunity (Figure 1). PD-L1 expression on glioma cells and microglia has been

observed in 38 % of newly diagnosed GBM and its expression is upregulated when compared to LGG [68, 71, 72]. Currently, there are 39 Phase-I/II clinical trials testing the effectiveness of immune checkpoint inhibition in different types of glioma. The great majority of these are studying the effect of monoclonal antibodies targeting PD-1 (Nivolumab, Pembrolizumab or Cemiplimab) or PD-L1 (Durvalumab, Avelumab or Atezolizumab) used in combination with SOC therapies (NCT02530502, NCT02968940, NCT03743662, amongst others) (Table 1). Also, combinational approaches targeting both immune checkpoints are being assessed, in which SOC plus PD-1/PD-L1 in combination with CTLA-4 (Tremelimumab or Ipilimumab) blockade is being tested (NCT02311920, NCT02794883, NCT04145115 and NCT03233152) (Table 1). Moreover, combinational approaches targeting other checkpoint proteins are under evaluation, such as the use of an anti-PD-1 antibody (Nivolumab) plus an antibody against lymphocyte activation gene-3 (LAG-3) (Relatlimab) (NCT02658981) or an antibody against T-cell immunoglobulin and mucin domain-3 (TIM-3) (MBG453) (NCT03961971), other T-cell inhibiting receptors related to T-cell exhaustion, or the use of an anti-PD-1 antibody (Nivolumab) plus an inhibitor of IDO1 (BMS-986205) [73] (NCT04047706). In addition, there are six Phase-I/II clinical trial assessing the effectiveness of combining anti-PD-1 plus VEGF inhibition (NCT03743662, NCT02336165, NCT03890952, NCT03452579, NCT03722342 and NCT03797326), which is currently used in the clinical setting for recurrent GBM (rGBM) (Bevacizumab) (Table 1) [74].

Since the identification of the checkpoint proteins as possible anti-cancer targets, many preclinical studies provided promising results for the treatment of malignant glioma [26, 75-79]. Unfortunately, the use of Nivolumab has not shown an improved survival in patients suffering of rGBM compared to the treatment with Bevacizumab or in combination with an anti-CTLA-4 antibody (Ipilimumab) [80, 81], so today these approaches are being tested in combination with current SOC or other immuno-

stimulatory strategies, in different clinical settings [82]. The latest preclinical studies employing checkpoint inhibitors for GBM models tested the effectiveness of combined therapies such as, the use of anti-PD-1 plus an antibody against T-cell immunoreceptor with Ig and ITIM domains (TIGIT), another checkpoint inhibitory molecule [83], or the innovative triple-approach of inhibiting PD-1, stimulating OX40 receptor, while stimulating the immune system by whole tumor vaccination [84]. Furthermore, our lab demonstrated that the administration of anti-PD-L1 or anti-CTLA-4 antibodies with TK/Flt3L gene therapy (see Immune Stimulatory Gene Therapy section) improved MS and increased the number of long-term survivors in a GBM mouse model [26].

### **3.2- TUMOR ASSOCIATED ANTIGENS/PEPTIDE VACCINES**

Peptide vaccines are short peptides composed by an MHCI or MHCII epitope capable of triggering a tumor-specific immune response [85]. These peptides are based on tumor-associated antigens (TAA) or tumor-specific antigens (TSA). For instance, in a Phase-I trial, tumor cells obtained from surgical resection of malignant gliomas were treated with insulin-like growth factor receptor-1 antisense oligodeoxynucleotide (IGF-1R/AS ODN) to induce tumor cell apoptosis, and were then subcutaneously injected in the patient in combination with a slow diffusion chamber [86] to induce an immune response against the specific epitopes (NCT02507583) (Table 1).

Usually, single peptide vaccines are insufficient to yield antitumor efficacy due to the heterogeneity of antigen expression in GBM, leading to the loss of antigenic variants [87]. To overcome this, patients with rGBM are being treated with a multi-peptide vaccine composed of the epitopes of epidermal growth factor receptor variant III (EGFRvIII), interleukin-13 receptor alpha-2 (IL13Ralpha2), ephrin type A receptor 2 (EphA2), human epidermal growth factor receptor-2 (HER2/neu) and YKL-40 peptides [88-92] in combination with TLR3 agonist poly-ICLC and

VEGF-blocking antibody Bevacizumab in a Phase-II trial (NCT02754362) (Table 1). Moreover, advances in peptidomics have led to the development of more specific peptides for personalized therapy [93]. Neoantigens could derive from genomic alterations like fusion of genes, deletion or insertion, frame-shift mutations, single-nucleotide variants and structural variants [94, 95] specific for a particular tumor type. Currently, there are clinical trials for vaccines targeting the tumor-specific neo-antigen mutant IDH1 (IDH1R132H) using the peptide PIPIDH1M [96] in combination with GM-CSF, Montanide ISA 51 (oil-based adjuvant) and TMZ (NCT02193347) or using AMPLIFY-NEOVAC with anti-PD-L1 antibody Avelumab (NCT03893903) (Table 1) (PIPIDH1M and AMPLIFY-NEOVAC are both IDH1R132H-based peptide vaccines). In a clinical trial of newly diagnosed DIPG and other gliomas, 29 patients were treated with H3.3K27M epitope K27M (DIPG common TSA) vaccine [97] combined with tetanus/diphtheria toxoid and the TLR3 agonist poly-ICLC (NCT02960230) (results are pending). A multiple-epitope vaccine (NeoVax) uses personalized neo-antigens in the context of multiple HLA alleles combined with SOC [98]. This strategy was tested in a Phase-I/Ib study for patients with newly diagnosed GBM, showing an increase in the number of circulating neo-antigen-specific CD4+ and CD8+ T-cells [98]. Although this treatment leads to an increase in the infiltration of T-cells in the tumor, these cells exhibit an exhausted phenotype [98]. To overcome this issue, in a new study 46 participants are treated with NeoVax combined with SOC, and the anti-PD-1 antibody Pembrolizumab (NCT02287428) (Table 1). In addition to immunological checkpoint blockade [99, 100], peptide vaccines have been combined with other immune-stimulant strategies, such as agonistic antibody against co-stimulatory immune-checkpoint molecule CD27 Varlilumab [101], or CD4 and CD8 response inductor Montanide ISA 51 [102].

In the ongoing trials, peptide vaccines are administered in combination with SOC treatments. For instance, in a Phase-II trial, newly diagnosed GBM patients are being treated with SurVaxM peptide vaccine (SVN53-67/M57-KLH), that contains a synthetic peptide derived from the TAA survivin [103], in combination with Montanide ISA 51, GM-CSF (Sargramostin) [104] and TMZ (NCT02455557) (Table 1).

### **3.3- DENDRITIC CELL VACCINES**

Dendritic cells (DC) are APCs, which have the capacity to recognize pathogens, process them and present the antigens in the context of MHC I and II molecules in the lymph nodes to activate naïve and memory T-cells or NK T-cells [105]. DCs also regulate the immune response through the secretion of pro or anti-inflammatory cytokines [106]. Currently, DC vaccines (DCV) are generated by *ex vivo* differentiation of DC from autologous monocytes with a cocktail of cytokines [107]. There are a number of factors that affect the efficacy of the DCV: optimal maturation protocol, tumor antigen loading, the adjuvant used, route and frequency of vaccination, and the combination with other therapies [107-109]. Current trials are using different combinations to select the one that triggers the best immune response and overall survival (OS) with low toxicity (Figure 2). Usually, the tumor is lysed after surgical resection to obtain enough TAA to pulse DCs [110] (or to directly inject them as a vaccine to trigger a specific immune response against the tumor epitopes [111]) (NCT01635283) (Table 1). To overcome tumor heterogeneity and to use different antigens, total tumor RNA (TT-RNA) has been used to pulse DCs [112]. In this way, tumor autologous antigen mRNA can be generated to transfect DCs and promote the presentation of TSA [113]. Transfection of mRNA that expresses the human Cytomegalovirus (CMV) matrix protein pp65, which was shown to be highly expressed in GBM by several groups [114-116], fused with the lysosome-associated membrane protein (LAMP), improved presentation in the context of the MHCII molecule. In a small



trial, patients were treated with CMV-pp65-LAMP mRNA-loaded DCs in combination with GM-CSF and TMZ administration, which increased progression free survival (PFS) and OS, and upregulated IFN $\gamma$  levels [117] (NCT00639639). In spite of these encouraging results, the presence of CMV DNA or proteins in glioma has been challenged recently, and its relevance as an oncomodulator is under reconsideration [118-120].

Other strategies use different sources to obtain tumor lysate and in an ongoing trial 10 DIPG patients were treated with autologous DCs that were pulsed with an allogeneic DIPG cell line (NCT02840123) [121] (Table 1).

Topical or intramuscular administration of TLR7/8 agonists Imiquimod (R837) or Resiquimod (R848) as adjuvants has shown an augmented immune response based on the presence of tumor-specific CD8+ T-cells [122-124] (NCT01808820; NCT01204684) (Table 1). In current trials, patients are treated with these adjuvants before and after receiving the DCV. The use of TLR3 agonist poly-ICLC as DCV adjuvant, with promising results in pancreatic cancer [125], is being tested in CNS tumor patients (NCT01204684) (Table 1). However, new studies suggest that TLR adjuvants could exert a pro-tumoral effect depending on the tumor and its TLR receptor repertoire [126]. On the other hand, it was observed that pre-treatment of the patients with tetanus/diphtheria toxoid greatly increase DCs migration to the lymph nodes in the context of host CCL3, improving tumor antigen presentation [127].

Two active trials use personalized mRNA pulsed DCV monotherapy in patients with newly diagnosed (PerCellVac) or recurrent (PerCellVac2) GBM to assess PFS, OS and antitumor antigen specific T-cell response (NCT02709616, NCT02808364) (Table 1).

Over the past 20 years, several clinical trials employed DCV for treatment of HGG [128]. In multiple cases, a significant increase in the PFS and OS was observed, whereas in other studies, no differences

compared to the historical controls were reported [128]. DCV therapy is currently combined with SOC for both newly diagnosed and rGBM. It has been observed that the time of administration of TMZ and DCV affects the outcome of the immune-stimulatory therapy [129]. TMZ in high doses induces lymphodepletion and evidence shows that while TMZ administration could enhance DC-therapy when co-administered with DCV [130, 131], TMZ administration post DCV application may hamper DC-induced anti-tumor immunity [129]. Lymphodepletion was induced prior vaccine administration in the BRAVO study for brain stem gliomas (NCT03396575). This therapy involves the reinjection of T-cells that are previously co-cultured with TT-RNA pulsed-DCs to “educate”, expand and activate lymphocytes, plus TT-RNA DCV combined with tetanus/diphtheria toxoid and GM-CSF adjuvance [132]. Finally, blockade of VEGF with Bevacizumab [133] or immunosuppressive molecules, such as PD-1 with Nivolumab [134], are used in combination with SOC and DCV in ongoing clinical trials (NCT02010606, NCT02529072) (Table 1).

### **3.4- ONCOLYTIC VIRUS**

Oncolytic viral therapy combines tumor-specific cell lysis with immune stimulation. These viruses selectively replicate in tumor cells inducing killing and exposing cancer cell antigens to immune effector cells for activation [135-137]. In addition, oncolytic viruses (OV) have been genetically engineered to express therapeutic transgenes to further boost antitumor immunity [138].

Among the wide range of studied viruses, only one wild-type virus, the reovirus, is under clinical investigation. Marketed as Reolysin, oncolytic reovirus has been tested for many cancers although with small benefits reported in GBM patients (NCT00528684) [139-141]. A dose escalation Phase-I trial is currently studying the combination of intravenously (i.v.) administrated Reolysin and subcutaneous administrated Sargramostim (GM-CSF), in patients with recurrent HGG (NCT02444546) (Table 1).

Herpes virus simplex 1 (HSV-1) was the first genetically engineered OV to treat brain tumors [142] and there are currently four types in clinical trial. G207 was well tolerated without evidence of encephalitis in three Phase-I studies in adults with rGBM and induced antitumor activity [143-145]. Currently, two ongoing Phase-I trials are testing the intratumoral infusion of G207 alone or in combination with radiation in pediatric patients (NCT03911388, NCT02457845) (Table 1). A second generation oHSV G207-based that expresses human IL-12 (M032; NCT02062827) (Table 1) is being examined in a Phase-I trial for patients with recurrent or progressive glioma. Two more types of oHSV are in clinical trials for rGBM: rQNestin34.5v.2 (NCT03152318), engineered to improve tumor cell specific targeting [146], and C134 (NCT03657576), engineered to enhance viral replication without increasing neurovirulence [147] (Table 1).

The replication-competent adenovirus DNX-240, marketed as Tasadenoturev, was generated to restrict the viral replication to cells with retinoblastoma pathway deficiency [148, 149]. DNX-240 was first studied in a double-arm Phase-I trial to treat patients with rGBM, reporting 20% of patients surviving more than 3 years and 3 complete responders (NCT00805376) [150]. In a second study, addition of IFN- $\gamma$  expression did not improve patient's survival compared to the monotherapy (TARGET-I; NCT02197169). However, the combination of intratumoral DNX-2401 with Pembrolizumab, is currently under evaluation in a Phase-II trial for rGBM (CAPTIVE, NCT02798406) (Table 1). Further, a Phase-I is testing the stereotactic injection of a DNX-2401-based adenovirus expressing OX40 ligand in patients with rGBM (DNX-2440, NCT03714334). Another strategy involves the delivery of neural stem cells transduced with OV Ad5-DNX-2041 or NSC-CRAAd-Survivin-pk7 in patients with rGBM and newly diagnosed malignant gliomas respectively (NCT03896568, NCT03072134) (Table 1). A Phase-I trial has expanded the evaluation of DNX-2204 in pediatric patients with DIPG (NCT03178032) (Table 1).

Several studies have shown the therapeutic potential of PVSRIPO, a live attenuated poliovirus type-1 [151]. PVSRIPO tropism towards CD155, highly expressed in tumor cells and APCs, enables infected tumor cell cytotoxicity and stimulation of an inflammatory response [152-154]. Currently a Phase-II, randomized trial is testing PVSRIPO alone or in combination with single-cycle lomustine (NCT02986178) and a Phase-Ib/II trial is studying PVSRIPO in combination with the anti-PDL1 antibody Atezolizumab (NCT03973879), both in patients with rGBM (Table 1). Finally, a third PVSRIPO-based therapy is ongoing for pediatric patients with rGBM (NCT03043391) (Table 1). Collectively, the successful accrual of these trials will demonstrate whether improved safety, tumor specificity and efficacy of OV's alone or in combination with other therapies can be translated into the clinic arena.

### **3.5- IMMUNE STIMULATORY GENE THERAPY**

Immune stimulatory gene therapy (GT) enables the local administration of non-replicative recombinant viral vectors expressing immune activators to enhance the antitumor immune response.

Many studies have evaluated the efficacy of local overexpression of pro-inflammatory cytokines such as IL-12, a cytokine endogenously produced by APCs that plays a critical role in the adaptive type 1 cell-mediated immunity [155]. Despite encouraging results in murine models, Phase-I studies of systemic administration of recombinant human IL-12 in patients with advanced malignancies were discontinued due to the poor tolerability [156, 157]. Therefore, a novel approach was developed using adenoviral vectors expressing a regulated human IL-12. This system is controlled through the RheoSwitch Therapeutic System® gene switch (Ad-RTS-hIL-12) under regulation of an oral activator ligand, veledimexin (VDX) [158]. In an open label Phase-I trial, the intratumoral delivery of Ad-RTS-hIL-12 was reported to stimulate tumor-specific T-cell responses with a reduced systemic toxicity in patients with rGBM (NCT02026271) (Table 1). At 12 months, the survival rates of

patients who received the preferred dosing regimen of hIL-12 with VDX and low-dose steroids, compared favorably to historical controls [159]. However, the apparent deleterious impact of the corticosteroids, when dosed with VDX, expanded the trial to a Phase-I sub-study that is evaluating this controlled hIL-12 platform as a monotherapy (NCT03679754) (Table 1). In a separate Phase-I trial, the Ad-RTS-Hil-12/VDX system is being tested in combination with Nivolumab (NCT03636477) (Table 1). Further, a Phase-II trial will study the inducible hIL-12 in combination with PD-1 antibody Libtayo (Cemiplimab-rwlc: NCT04006119) (Table 1). A Phase-I trial has expanded the evaluation of the Ad-RTS-Hil-12/VDX therapy in pediatric patients with DIPG (NCT03330197) (Table 1).

On another approach, the local administration within the resection cavity of recombinant adenoviral vectors encoding the Fms-like tyrosine kinase 3 ligand (Ad-Flt3L) was shown by our laboratory to recruit DCs within the brain parenchyma, thus improving the brain's immune surveillance and triggering an anti-GBM immune response [160-162]. To enhance the antitumor immune response, this immune-stimulatory approach was combined with adenovirus expressing a conditional cytotoxic herpes simplex type 1 thymidine kinase (Ad-TK) in the presence of the prodrug Ganciclovir (GCV) [163-166]. Preclinical results proved that the Ad-Flt3L/Ad-TK (+GCV) treatment is safe and showed an increase in the survival of tumor-bearing animals, inducing long-term immunological memory through a HMGB1-mediated activation of the TLR2 signaling [163, 164, 167-172]. Results from a dose escalation safety study in patients with primary GBM are expected by the end of 2020 (NCT01811992) (Table 1). Also, early in 2021, this approach is going to be tested in combination with anti-PD-1 immune checkpoint inhibition therapy.

The Ad-TK mediated suicide GT has been also tested in combination with SOC [173]. However, encouraging results from a multi-institutional Phase-II study (NCT00589875) contrasted with

negative results from a Phase-III randomized open-label trial with a similar approach (NCT00870181) [173, 174]. A Phase-I trial is currently evaluating the intratumoral delivery of Ad-TK and oral administration of the prodrug Valacyclovir coupled with SOC and the checkpoint inhibitor Nivolumab in newly diagnosed patients with HGG (NCT03576612).

### **3.6- CAR T-CELLS**

The adoptive cellular therapy of chimeric antigen receptor (CAR) T-cells is based on the reprogramming of the patient's cytotoxic T-cells to express recombinant surface molecules that combine the antigen-recognizing variable region of an antibody in tandem with intracellular T-cell signaling domains [175, 176]. CARs are composed of a B-cell receptor derived extracellular antibody single-chain variable fragment, a T-cell receptor (TCR) derived CD3 $\zeta$  domain, and intracellular co-stimulatory fractions [177, 178]. This structure allows CAR T-cells to target specific antigens independently of HLA expression, downregulation of which is a common strategy of immune evasion by tumors [178]. When the CAR recognizes a tumor associated antigen, it induces T-cell activation, resulting in the tumor lysis via direct cytotoxic T-cell-tumor cell interactions and cytokine release [176].

There are currently two CAR T-cell based therapies approved by the FDA for hematologic malignancies [179, 180]. However, treating solid tumors, and specially gliomas, with this therapy might be more challenging due to the presence of an immunosuppressive TME [54, 181].

Currently, there are 17 clinical trials on Phase-I/II testing the effectiveness of CAR T-cells in glioma. Predominantly, these T-cells were modified to express a CAR to recognize TAA, such as IL-13R $\alpha$ 2 (NCT02208362 and NCT04003649), HER2 (NCT03389230, NCT03383978 and NCT03500991) or EGFRvIII (NCT02664363, NCT03726515, NCT03941626, amongst others) (Table 1) [182-184]. In addition to these antigens, today there are Phase-I/II clinical trials evaluating CAR T-cells which target other three TSA: disialoganglioside GD2 for DMG [185], B7-H3 (CD276) for recurrent and refractory

GBM [186, 187] and EphA2 for malignant gliomas [188, 189]. Amongst these trials, only one is assessing the effect of CAR T-cell with concomitant SOC (NCT04077866), whilst the others are assessing CAR T-cell therapy in refractory and recurrent malignant glioma (Table 1).

While these approaches have shown promising results in preclinical studies [190-195], their translation to the clinical setting has yielded less conclusive outcomes. The available results published for the finished clinical trial evaluating IL-13R $\alpha$ 2-, EGFRvIII- or HER2-CAR T-cells in patients with GBM or recurrent/progressive GBM demonstrated the safety and low toxicity of CAR T-cell administration, evidence of cell trafficking into the brain when administered I.V., and transient anti-glioma responses [182, 184, 196-198]. However, no consistent and lasting response has been observed so far for GBM and for other solid tumors in general [182, 199].

The clinical development of CAR T-cell therapy for brain tumors has just started and preclinical and clinical data are encouraging in terms of feasibility and safety [182, 199, 200]. Treating brain tumors with CAR T-cell based therapies is challenging because of their anatomic location, the intrinsic immunosuppressive TME, and the tumor heterogeneity [200]. Also, the fact that they are solid tumors is another obstacle for this therapy, since cell trafficking into the tumor is hindered and, unlike hematological malignancies, they usually lack one specific tumor antigen to target [199]. To address these issues, many approaches are being employed. The route of delivery for CAR T-cells is a key factor and, even though i.v. administration was successful in trafficking cells to the brain tumor mass, locoregional administration seems to be a more effective and safer way to deliver them [196, 201-204]. To overcome the immunosuppressive environment, there are several strategies being evaluated in the preclinical and clinical setting. One of these is administrating CAR T-cells in combination with checkpoint inhibitors. Currently, there are two Phase-I/II clinical trials studying the combination of CAR T-cells with an antibody against PD-1 (NCT03726515) or with both anti-PD-1 and CTLA-4

antibodies (NCT04003649) (Table 1). Another strategy in preclinical development is the disruption of PD-1 gene (*PDCDI*) by CRISPR-Cas9 technology in the CAR T-cells [205]. Moreover, CAR T-cells have been engineered to secrete pro-inflammatory cytokines to stimulate T-cell function and proliferation [206]. Last but not least, tumor heterogeneity is a key aspect to tackle. In preclinical and clinical studies for CAR T-cells against different TAA, it has been observed the relapse of GBMs with no or low expression of that specific antigen, highlighting the importance of considering the heterogeneous antigen expression in this type of tumor to avoid antigen escape [196, 197, 206, 207]. A strategy to address this issue is to use CAR T-cells to target more than one antigen. This could be achieved by administering different mono-specific CAR T-cells, by engineering CAR T-cells expressing CARs specific for different antigens or by the design of CAR molecules targeting more than one antigen [208-210].

### **3.7- ANTIBODY DELIVERY**

Antibody delivery is a type of “passive immunotherapy” in which the immune system of the patient is not involved in the initiation of the immune response but rather acts as a consequence of the administration of immune factors, such as cytokines or antibodies. The outcomes of the passive immunotherapies are temporally dependent on the administration of the treatment and usually do not induce immunological memory. Antineoplastic antibody delivery therapy usually relies on the administration of monoclonal antibodies specific for an antigen that would recruit phagocytes and activate the complement system to destroy the tumor cells [211, 212]. Also, they could be used to disrupt a signaling pathway or as a way to deliver localized radiation (radiolabeled antibodies) or a toxic agent [211, 212].



Currently, there are 32 Phase-I/II clinical trial testing monoclonal antibodies with or without current SOC in both recurrent and newly diagnosed malignant gliomas. Sixteen of these trials are studying the efficiency of an anti-VEGF antibody (Bevacizumab), which has already been approved in 2009 by the FDA for its use in rGBM in the USA [213-215], but not in the primary setting since no benefit on the OS was observed in two separate controlled studies [216, 217]. VEGF is a key pro-angiogenic factor that stimulates the proliferation, invasion and migration of endothelial cells [218], is overexpressed by tumor cells in GBM [219] and negatively correlates with prognosis [218, 219]. In spite of the FDA approval of the anti-VEGF therapy, there is no consensus for the SOC for patients at first GBM recurrence and this is why different combinations are currently being tested in clinical trials. The clinical advantage of Bevacizumab is limited if not scarce and its benefit compared to the use of other common therapies is still controversial [213-215]. The use of Bevacizumab in the pediatric population for newly diagnosed HGG was also evaluated, plus SOC. Results indicated no improvement in event free survival and OS after the addition of Bevacizumab to the current SOC [220]. Although clinicians were motivated at the beginning by the superior radiographic response from Bevacizumab trials on rGBM, the lack of OS improvement raised the question if this drug is actually acting as an anti-neoplastic agent or if it is just normalizing the blood vessel density in the tumor, decreasing the penetration of gadolinium and thus, decreasing the volume of contrast enhancement in magnetic resonance imaging [211, 212]. Either way, it is still necessary to analyze the results of the ongoing clinical trial using Bevacizumab with different SOC combinations to conclusively determine the usefulness of this antibody therapy.

Another strategy to target HGG is through the use of antibodies against tumor-specific or -associated antigens. The amplification or mutation of EGFR gene is the most frequent genetic alteration in GBM, present in 40-60 % of the tumors [221]. Even though promising results in the preclinical setting [211,

222-224], today no agent targeting EGFR or EGFRvIII has been approved by the FDA for its use in GBM [221]. Currently, there are 8 Phase-I/II clinical trial testing the use of antibodies against EGFR, EGFRvIII or both for recurrent and newly diagnosed GBM (NCT02540161, NCT02573324, NCT02590263, NCT03620032, NCT02303678, NCT02800486, NCT04160494 and NCT03618667) (Table 1). These trials usually involve the use of anti-EGFR/EGFRvIII therapy plus SOC. The use of these antibodies showed acceptable safety and pharmacokinetic profile in GBM [225], however, in many cases clinical trials have failed to demonstrate the desired results [221]. It is possible that the use of a therapy targeting a single antigen is not ideal in these tumors, as they are highly heterogeneous. Specifically, EGFR and EGFRvIII expression is heterogeneous in GBM and currently its importance as an anti-tumor target is being debated [226]. Other monoclonal antibodies being tested in Phase-I/II clinical trials target other TAA, such as EphA3 or GD2, or are designed to stimulate the immune response by their binding to immune stimulatory domains (NCT03374943 and NCT00445965).

Antibody therapy faces the same challenges that many of the immunotherapies against glioma. One of those is the BBB [227], for which different strategies are under study. For instance, antibodies have been conjugated to cell-penetrating peptides, that facilitate the BBB crossing through the negatively charged membrane of the endothelial cells [227, 228] or stem cells have been used for the *in vivo* antibody production and delivery [227, 229]. Another strategy under preclinical development to improve antibody's efficacy is the use of bispecific antibodies (bsAbs), which recognize two different epitopes. For example, bsAbs targeting Agn-2 and TSPO or Ang-2 and VEGF extended the survival of murine GBM models, while stimulating the immune anti-tumor response [230, 231]. A special type of bsAbs are the BiTEs, bispecific antibodies that link a TSA with a co-stimulatory molecule on a T-cell, establishing immunological synapses [227], such as BiTEs targeting EGRFvIII and the T-cell activation ligand CD3 [232, 233].

### **3.8- OTHER IMMUNOTHERAPIES**

#### **3.8-1. IDO1 INHIBITION**

IDO1 induces immunosuppression by tryptophan degradation [234], which eventually leads to T-cell killing and Tregs recruitment [235]. In a healthy human brain, *IDO1* expression is negligible [236]. Conversely, it is upregulated in 90% of GBM [237] and its expression correlates with aggressiveness [238]. Like other inhibitors, IDO1 inhibitors did not show significant antitumor efficacy when administered as a monotherapy. However, today there are clinical trials studying the efficacy of IDO1 inhibition with SOC in different clinical settings (NCT03532295, NCT02502708 and NCT04049669) (Table 1). Also, the efficacy of IDO1 inhibitor (INCB024360) in combination with Nivolumab, Anti-GITR Monoclonal Antibody (MK-4166) and Ipilimumab in patients with rGBM (NCT03707457) is being tested (Table 1). These trials will soon yield valuable information on the safest and most efficacious approaches for the application of this therapy.

#### **3.8-2. ANGIOGENESIS INHIBITION AND INDUCTION OF IFN $\gamma$**

Pomalidomide is an anti-angiogenic and immunomodulatory compound [239]. Pomalidomide promotes T-cell-mediated antitumor immunity by inhibiting the expression of PD-L1 [240] and by inducing the expression of IFN $\gamma$  and IL-2 [241]. In 2015, a Phase-I clinical trial using Pomalidomide was opened to treat young patients showing recurrent, progressive, or refractory CNS tumors (NCT02415153) (Table 1). Also, another Phase-II trial using Pomalidomide (CC-4047) monotherapy for the treatment of recurrent or progressive primary brain tumors in children and young patients (NCT03257631) was started in 2017 (Table 1).

## **4. NANOTECHNOLOGIES**

The therapeutic challenges for GBM associated to the presence of the BBB, which precludes readily permeation of chemotherapeutics into the brain parenchyma [242]; the tumor heterogeneity, which makes targeting single pathways ineffective [7]; and the tumor invasiveness and relapse [19, 243] are being tackled by the development of more efficient delivery methods. Nanoparticles (NPs) are emerging as a promising therapeutic approach to enhance the efficacy of glioma immunotherapy. Formulations based on nanotechnology have been developed to non-invasively deliver immunomodulatory agents to the tumor site [244, 245] while avoiding immunogenicity and off-target side effects [246-252]. NPs with an optimal size for lymphatic trafficking (10-100nm) facilitate target cellular uptake of the immunomodulatory agent, increase the drug bioavailability at the tumor site while reducing the drug dosing frequency [245]. Biomaterials such as albumin, liposomes, and lipoproteins are utilized to engineer NPs [246-251], which enable the encapsulation of both hydrophilic and hydrophobic therapeutic agents, and protect them from biochemical degradation [246-251].

We have recently demonstrated that local treatment of glioma with sHDL-mimicking nanodiscs containing ApoAI mimetic peptide, phospholipids, immunogenic cell death inducing chemotherapeutic (ICD) agent docetaxel, and adjuvant CpG oligodeoxynucleotide effectively elicit anti-tumor T cell activity and induce immunological memory response against tumor relapse [253]. Local drug delivery at the time of surgery allows for the treatment of residual tumor cells in the surgical cavity, prolonging the period to recurrence due to strong anti-glioma immunological memory response prompted by this NP-mediated therapy. Whether sHDL-mimicking nanodiscs loaded with ICD agent and adjuvant CpG can achieve a survival benefit in the clinic remains to be seen.

Nanovaccines based on superparamagnetic iron oxide (SPIO) NPs provide another novel approach to induce immunomodulatory anti-glioma response [254]. A preclinical study demonstrated that vaccine formulation containing SPIONPs encapsulated with heat shock protein 70, which induces anti-tumor immune response, improved antigen loading into the dendritic cells [254]. Treatment of glioma bearing mice with these SPIONPs inhibited glioma growth and elicited robust anti-glioma immune response. These data indicate that NP based vaccines could have a great potential for clinical translation. In addition, our team recently demonstrated that sHDL-mimicking nanodiscs serve as an efficient delivery platform targeted to lymphoid tissues [248, 255, 256]. Using this system, we have shown that neoantigens, which are tumor-specific antigens identified from mutated tumor cells, can be identified from GBM and used in conjunction with nanodiscs to generate potent T-cell responses against GBM (manuscript under review). Specifically, nanodiscs delivering GBM neoantigens combined with anti-PDL1 immune checkpoint blockade resulted in a significant increase in median survival and complete tumor regression in 93% and 33% of mice bearing GBM at flank and orthotopic sites, respectively, thus demonstrating a general strategy for personalized cancer immunotherapy [257].

By the modification of the NPs with various coating materials, efficient delivery of molecules can be achieved [258, 259]. One such modification, tumor-penetrating peptide, iRGD has been shown to facilitate the NP transport and CNS penetration [260-262]. We recently demonstrated that albumin NPs loaded with siRNA against signal and transducer of activation 3 (STAT3) transcription factor (which inhibits immune functions upon activation), and iRGD penetrate the BBB and that, when administered in combination with SOC, extend MS of mice bearing glioma and elicit robust anti-glioma immune response [262].

Other peptide modifications on nanoplateforms have been explored to minimize off target accumulation and facilitate active targeting or mediate BBB transport. Interleukin 13 (IL-13) receptor, IL-13 R $\alpha$ 2, is overexpressed on glioma cells, and has therefore become an attractive receptor target for peptide-modified nanotherapies [263]. This high affinity receptor is an advantageous target due to its decoy-like characteristics without causing downstream signaling activation and its low affinity towards unaffected brain tissue [264, 265]. Madhankumar A.B. *et al.* demonstrated IL-13-conjugated liposomes showed enhanced efficacy in a subcutaneous mouse model for glioma [263]. Gao H. *et al.* conjugated IL-13 to NPs which resulted in increased cellular uptake via endocytosis, higher internalization, and improved localization to the tumor site in an orthotopic glioma mouse model [266].

The transferrin receptor (TfR) has been extensively researched as a target for various CNS diseases including gliomas because TfR is overexpressed on brain capillary endothelial cells and glioma cells [267]. It also facilitates transport across the BBB through TfR-mediated transcytosis. Despite exploiting the use of TfR as a target for decades, translation of systems leveraging these findings has been limited [268]. Epidermal growth factor receptor (EGFR), a receptor that is highly expressed in various cancers, is another target that has been of interest for nanotherapies [269]. The seven-peptide (sequenced HAIYPRH, T7), which has greater affinity for TfR, has been used for glioma targeting to deliver siRNA [270], coupled with other targeting ligands to demonstrate increased transport across the BBB and greater tumor penetration [271].

Although targeting strategies through peptide conjugation can improve the delivery of therapeutic agents in NPs, they are still not sufficient to effectively promote drug delivery to brain tumors. Other design approaches have focused on modulating the size, morphology, surface charge, composition,

pH and coupling these design parameters to maximize therapeutic efficacy, transport across the BBB, control circulation time, reduce toxicity, and modify the biodistribution.

As multidrug resistance and toxicity become evident challenges in glioma treatment, designing combination therapy delivery systems within nanoparticles is necessary. Combination therapy (CT) is a therapeutic dosing strategy where two or more drugs are combined. The motivation to potentially slow drug resistance, make therapeutic effect stronger via synergism, and maintain a therapeutic effect using lower doses, thus reducing toxicity and off target effects [272]. Effects of CT can be categorized as synergistic, enhancing, antagonistic, or additive. However, without a universal definition of synergism, it has been challenging to evaluate synergism claims and thus has further complicated FDA approval, grants applications, and ultimately advancing CT approaches [273]. Benefits of CT in nanoplatforms include delivering hydrophobic and hydrophilic drugs in one system, controlling release of one agent to sensitize the other, slowing down multidrug resistance, improving therapeutic effects while reducing toxicity, among others [274]. Though combining multiple drugs isotropically mixed throughout a carrier particle can be done to achieve benefits of CT, creating multicompartmental nanoparticles may be advantageous, because it can overcome critical formulation challenges (i.e., incompatible solvent systems, drug interactions), while expanding the design capabilities and maximizing therapeutic outcomes [274]. Leveraging multi-compartmental carries can not only incorporate this solubility advantage but facilitate implementing other drugs regardless of their solubility compatibility. Liposomes have been used to incorporate hydrophobic drugs in the lipid envelope and hydrophilic drugs in the lipid envelope to produce a single carrier system. Similarly, bicompartmental nanoparticles can be used to deliver different drugs with independent release kinetics. Figure 3 shows a bicompartmental polymeric nanoparticle composed of polylactide-co-glycolide (PLGA) in one compartment and a mixture of PLGA and

acetal-modified dextran in the second. In this example, the acetal-modified dextran PLGA compartment was pH-responsive and could thus be used to release irinotecan, a cancer therapeutic, in an acidic pH microenvironment [275]. Thus, these act as pH responsive carriers, enabling drug release at optimal pH conditions.

Another motive of such multicompartamental systems is to tune the pharmacokinetics of each section individually. Although a free drug combination may achieve synergism, the release kinetics of the drugs in the NP must be considered to ensure the ratio that achieved that synergism is maintained at the tumor site. Tuning the release is also a consideration in the delivery of sensitizing agents prior to cytotoxic drugs. Chemosensitizers such as verapamil, elacidar and tariquidar have been used to sensitize doxorubicin and paclitaxel and can be used to overcome MDR [274]. Guo L. *et al.* synthesized Tumor necrosis factor-related apoptosis-inducing ligand (TRAIL) liposomes (TRAIL-LP) and doxorubicin-loaded liposomes (DOX-LP). DOX-LP sensitized TRAIL-LPs and therefore improved the therapeutic effect [276].

Among the advantages, NPs can be tailored for drug loading and protection; their surface characteristics (size, shape and surface charge) can be exploited for extending the half-life in circulation, and they can be precisely biofunctionalized with specific targeting ligand for drug accumulation at the tumor site. In summary, NPs are an attractive, less-invasive, drug-delivery carrier for glioma immunotherapeutics, capable of overcoming the current challenges encountered by traditional therapeutic approaches.

## 5. CONCLUSION

Immunotherapy has become a revolution for cancer treatment for its outstanding outcomes in several types of malignancies. Applying these modalities to treat malignant glioma in the clinical setting is



challenging, as demonstrated by the lack of long-lasting improvements in patient survival. However, it is important to learn from the failures to find the best treatment combination to eradicate these tumors and generate anti-tumor immunological memory. We hope that this review will help neuro-oncologists, neurosurgeons, the scientific community and the patients to become aware of the diversity of therapies under study in the glioma field and which are the obstacles that we need to tackle.

## **6. EXPERT OPINION**

In the last decade, we have experienced an expansion in the immune-based anti-cancer therapy strategies, and many of those innovations have been approved for the treatment of different neoplasms in the clinical setting [65, 184, 277]. In the glioma field, many efforts have been devoted to the development of therapies aimed to harness the immune system potential to direct it against brain tumors and extensive preclinical data investigating different immunotherapeutic modalities yielded encouraging outcomes [27]. It is striking to observe how complex and sophisticated these therapies have become in order to be as specific and powerful as possible. Several Phase-I/II clinical trials have demonstrated safety and feasibility for the administration of immunotherapies in combination with SOC [71, 278]. Unfortunately, the outcomes of these trials have not yielded consistent results for primary brain tumors, highlighting the need of research models that better depict the human disease [73]. Even though these pitfalls, there are still many other alternatives under development in the preclinical setting and under evaluation in ongoing clinical trials [73, 277].

There are several characteristics intrinsic to brain tumors that make them particularly difficult to target by the immune system. For instance, the presence of the BBB, the immunosuppressive TME, the low mutational burden and the antigen heterogeneity [278]. However, the evidence that patients

with disorders related to the hyperactivation of the immune response, such as allergies, had a lower risk of suffering glioma [279], evidenced that the immune system plays a role in the development of this disease and that pursuing the objective of directing it to fight brain cancer is a path worth taking.

Lately, the use of CAR T-cells for glioma treatment has become an exciting idea in the neuro-oncology community and many efforts are being put to obtain the best CAR T-cell. For example, an alternative recently presented by Choi BD *et al.*, is the use of CAR T-cells secreting BiTEs. In an elegant study, they used T-cells expressing a CAR specific for EGFRvIII and BiTEs against EGFR. They could confirm that the secretion of EGFR-BiTEs by the EGFRvIII-CAR T-cells avoided antigen escape observed previously with monospecific EGFRvIII-CAR T-cells alone and eliminated the tumors in models of heterogeneous glioma, expressing both EGFRvIII and EGFR [204]. The clinical relevance of CAR T-cells expressing BiTEs still needs to be evaluated.

Undoubtedly, combinational therapies constitute the best approach to treat malignant glioma. Considering the large amount of immune-based therapies developed, the numerous possible targets, the current SOC, and the many possible timings and routes for drug administration, the number of potential combinations has increased exponentially. Several combinatorial approaches are today under study in clinical trials, not only integrating immunotherapies with SOC but also with other immune-stimulant agents. Currently, there is no consensus on which is the best combination or the ideal timing for drug administration. Recently, results from a clinical trial in which Pembrolizumab (anti PD-L1) was administered before or after surgery resection of the tumor demonstrated the importance of the selection of the starting point for the treatment. Patients who received the anti-PD-L1 as neoadjuvant (before surgery) lived as twice as long as the patients treated with the same drug as adjuvant (after surgery) and the infiltration of activated T-cells into the tumor was demonstrated in the former group [82]. Also, uncovering the interactions between SOC and new drugs is crucial to

decide how and when treat a patient and prevent misleading results in clinical trials [71]. For example, while lymphopenia, a common consequence after chemoradiation treatment for malignant glioma, is a disadvantage for the application of cancer vaccines, it could represent a favorable context for the treatment with adoptive cell therapies, such as CAR T-cells or DCV [71]. Thus, it is crucial to keep track of the results of the latest trials studying different treatment variants to improve patient selection, to prevent random testing and to build collaborative guidelines for the treatment of glioma.

Moreover, as drug penetration in the brain is an issue for GBM treatment, different ways of administering these agents are being assessed and, so far, intracranial delivery, though invasive, has demonstrated to be the most efficient in several approaches. However, the development of less invasive methods of administration with brain or tumor homing characteristics has given encouraging results in the pre-clinical setting lately [251]. Nanoparticles have emerged as a new and safe method for the delivery of agents targeting brain tumors and preclinical results are encouraging [253]. For example, nanoparticles injected i.v. composed of albumin, a siRNA against STAT3 and the tumor penetrating peptide iRGD, showed effective brain tumor delivery and a significant survival benefit in an aggressive glioma model [262]. It would be interesting to test the efficacy of these particles for the delivery of immune-stimulatory agents in the clinical setting.

In addition to the progress made in the field of immunotherapeutic approaches, more sophisticated imaging systems for brain surgery and more accurate radiotherapy techniques are being developed, which would improve current SOC efficacy, reducing the morbidity and clinical deterioration associated to these therapies [280]. For example, there was found a correlation between hyperfractionated radiation and TMZ administration with CD4<sup>+</sup> T-cell depletion in GBM patients, indicating immunosuppression [281]. This immunosuppressed state also correlated with worse

prognosis [281]. Probably, the application of immune-stimulatory agents in an improved clinical setting might show an enhanced synergistic effect for the combinational approach with SOC.

Moreover, it is highly important to continue with the efforts to develop models that more faithfully recapitulate GBM features, in order to be able to predict more accurately the outcomes in the clinical setting. Finally, it would be necessary to find biomarkers that will help the neuro-oncologists and neurosurgeons to better select patients for clinical trials and to monitor the efficacy of the treatment or tumor progression.

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## ANNOTATIONS

\* Ostrom QT, Gittleman H, Truitt G *et al.* CBTRUS statistical report: primary brain and other central nervous system tumors diagnosed in the United States in 2011–2015. *Neuro-oncology* 2018; 20:iv1-iv86.

Thorough and comprehensive summary of the epidemiology of primary brain and other central nervous system (CNS) tumors in the United States (US) population from 2011 to 2015. This study includes malignant and non-malignant CNS tumors.

\* Louis DN, Perry A, Reifenberger G *et al.* The 2016 World Health Organization Classification of Tumors of the Central Nervous System: a summary. *Acta Neuropathologica* 2016; 131:803-820.

The latest World Health Organization classification of tumors of the CNS, using molecular parameters for the first time, in addition to histology, to define many tumor entities.

\*\* Verhaak RG. Moving the needle: Optimizing classification for glioma. *Science translational medicine* 2016; 8:350fs314.

Easy-to-follow guidelines for the classification of gliomas taking into account molecular data.

\*\* Mackay A, Burford A, Carvalho D *et al.* Integrated molecular meta-analysis of 1,000 pediatric high-grade and diffuse intrinsic pontine glioma. *Cancer cell* 2017; 32:520-537. e525.

Comprehensive work on the specific molecular characteristics and classification of pediatric HGG.

\* Engelhardt B, Vajkoczy P, Weller RO. The movers and shakers in immune privilege of the CNS. *Nat Immunol* 2017; 18:123-131.

Comprehensive review that summarizes the current knowledge on the cellular and molecular mechanisms involved in immune-cell trafficking and lymphatic drainage from the CNS, emphasizing the fact that understanding immune privilege of the CNS requires intimate knowledge of its unique anatomy.

\*\* Negi N, Das BK. CNS: Not an immunoprivileged site anymore but a virtual secondary lymphoid organ. *International reviews of immunology* 2018; 37:57-68.

This concise review depicts the current view of the interactions between the immune system and the CNS.

\* Quail DF, Joyce JA. The Microenvironmental Landscape of Brain Tumors. *Cancer Cell* 2017; 31:326-341.

Review discussing the brain tumor microenvironment features, including brain-resident cell types, the blood-brain barrier, and various aspects of its immune-suppressive environment.

\*\* Young JS, Dayani F, Morshed RA et al. Immunotherapy for high grade gliomas: a clinical update and practical considerations for neurosurgeons. *World neurosurgery* 2019.

This review summarizes the immuno-therapy strategies for high-grade gliomas in completed and ongoing trials until April 2019 and includes recommendations for their practical application in the clinical setting.

## ABBREVIATIONS

BBB	Blood-Brain Barrier
CAR	Chimeric Antigen Receptor
CNS	Central Nervous System
CCNU	Lomustine
CT	Combination Therapy
DC	Dendritic Cell
DCV	Dendritic Cell Vaccines
DMG	Diffuse Midline Glioma

FDA	Food And Drug Administration
GBM	Glioblastoma
HMGB1	High Mobility Group Box 1
i.v.	Intravenously
IDO1	Indoleamine 2,3-Dioxygenase 1
MS	Median Survival
NPs	Nanoparticles
OS	Overall Survival
OV	Oncolytic Virus
PFS	Progression Free Survival
rGBM	Recurrent Glioblastoma
SOC	Standard Of Care
TAA	Tumor-Associated Antigen
TCR	T-Cell Receptor
TME	Tumor Microenvironment
TMZ	Temozolomide
TSA	Tumor-Specific Antigen
TT-RNA	Total Tumor RNA
VDX	Veledimexin
VEGF	Vascular Endothelial Growth Factor

ACCEPTED MANUSCRIPT

Type of immunotherapy	NCT	Title	Status	Phase	URL
TUMOR ASSOCIATED ANTIGENS/PE	NCT02507583	Antisense102: Pilot Immunotherapy for Newly Diagnosed Malignant Glioma	Active, not recruiting	Phase-I	<a href="https://ClinicalTrials.gov/show/NCT02507583">https://ClinicalTrials.gov/show/NCT02507583</a>

**TABLE 1**

ACCEPTED MANUSCRIPT

PTIDE VACCINES					
	NCT02754362	A Toll-like Receptor Agonist as an Adjuvant to Tumor Associated Antigens (TAA) Mixed With Montanide ISA-51 VG With Bevacizumab for Patients With Recurrent Glioblastoma	Active, not recruiting	Phase-II	<a href="https://ClinicalTrials.gov/show/NC T02754362">https://ClinicalTrials.gov/show/NC T02754362</a>
	NCT02455557	SurVaxM Vaccine Therapy and Temozolomide in Treating Patients With Newly Diagnosed Glioblastoma	Active, not recruiting	Phase-II	<a href="https://ClinicalTrials.gov/show/NC T02455557">https://ClinicalTrials.gov/show/NC T02455557</a>
	NCT02287428	Personalized NeoAntigen Cancer Vaccine w RT Plus Pembrolizumab for Patients With MGMT Unmethylated, Newly Diagnosed GBM	Active, not recruiting	Phase-I	<a href="https://ClinicalTrials.gov/show/NC T02287428">https://ClinicalTrials.gov/show/NC T02287428</a>
	NCT02960230	H3.3K27M Peptide Vaccine for Children With Newly Diagnosed DIPG and Other Gliomas	Active, not recruiting	Phase-I	<a href="https://ClinicalTrials.gov/show/NC T02960230">https://ClinicalTrials.gov/show/NC T02960230</a>
	NCT02193347	IDH1 Peptide Vaccine for Recurrent Grade II Glioma	Active, not recruiting	Phase-I	<a href="https://ClinicalTrials.gov/show/NC T02193347">https://ClinicalTrials.gov/show/NC T02193347</a>
	NCT02750891	A Study of DSP-7888 in Pediatric Patients With Relapsed or Refractory High Grade Gliomas	Active, not recruiting	Phase-I/II	<a href="https://ClinicalTrials.gov/show/NC T02750891">https://ClinicalTrials.gov/show/NC T02750891</a>
	NCT01814813	Vaccine Therapy With Bevacizumab Versus Bevacizumab Alone in Treating Patients With Recurrent Glioblastoma Multiforme That Can Be Removed by Surgery	Active, not recruiting	Phase-II	<a href="https://ClinicalTrials.gov/show/NC T01814813">https://ClinicalTrials.gov/show/NC T01814813</a>
	NCT02924038	A Study of Varlilumab and IMA950 Vaccine Plus Poly-ICLC in Patients With WHO Grade II Low-Grade Glioma (LGG)	Recruiting	Phase-I	<a href="https://ClinicalTrials.gov/show/NC T02924038">https://ClinicalTrials.gov/show/NC T02924038</a>
	NCT03750071	VXM01 Plus Avelumab Combination Study in Progressive Glioblastoma	Recruiting	Phase-I/Phase-II	<a href="https://ClinicalTrials.gov/show/NC T03750071">https://ClinicalTrials.gov/show/NC T03750071</a>
	NCT03382977	Study to Evaluate Safety, Tolerability, and Optimal Dose of Candidate GBM Vaccine VBI-1901 in Recurrent GBM Subjects	Recruiting	Phase-I	<a href="https://ClinicalTrials.gov/show/NC T03382977">https://ClinicalTrials.gov/show/NC T03382977</a>
	NCT02549833	Neo-adjuvant Evaluation of Glioma Lysate Vaccines in WHO Grade II Glioma	Recruiting	Phase-I	<a href="https://ClinicalTrials.gov/show/NC T02549833">https://ClinicalTrials.gov/show/NC T02549833</a>
	NCT03893903	AMPLIFYing NEOepitope-specific VACCine Responses in Progressive Diffuse Glioma	Recruiting	Phase-I	<a href="https://ClinicalTrials.gov/show/NC T03893903">https://ClinicalTrials.gov/show/NC T03893903</a>
	NCT03299309	PEP-CMV in Recurrent MEDulloblastoma/Malignant Glioma	Recruiting	Phase-I	<a href="https://ClinicalTrials.gov/show/NC T03299309">https://ClinicalTrials.gov/show/NC T03299309</a>
	NCT01903330	ERC1671/GM-CSF/Cyclophosphamide for the Treatment of Glioblastoma Multiforme	Recruiting	Phase-II	<a href="https://ClinicalTrials.gov/show/NC T01903330">https://ClinicalTrials.gov/show/NC T01903330</a>
	NCT03916757	V-Boost Immunotherapy in Glioblastoma Multiforme Brain Cancer	Recruiting	Phase-II	<a href="https://ClinicalTrials.gov/show/NC T03916757">https://ClinicalTrials.gov/show/NC T03916757</a>
	NCT02722512	Trial of Heat Shock Protein Peptide Complex-96 (HSPPC-96) Vaccine	Recruiting	Phase-I	<a href="https://ClinicalTrials.gov/show/NC T02722512">https://ClinicalTrials.gov/show/NC T02722512</a>

	NCT03422094	Neoantigen-based Personalized Vaccine Combined With Immune Checkpoint Blockade Therapy in Patients With Newly Diagnosed, Unmethylated Glioblastoma Radiation Therapy Plus Temozolomide and Pembrolizumab With and Without HSPPC-96 in Newly Diagnosed Glioblastoma (GBM)	Recruiting	Phase-I	<a href="https://ClinicalTrials.gov/show/NC T03422094">https://ClinicalTrials.gov/show/NC T03422094</a>
	NCT03018288		Recruiting	Phase-II	<a href="https://ClinicalTrials.gov/show/NC T03018288">https://ClinicalTrials.gov/show/NC T03018288</a>
	NCT02358187	A Vaccine Trial for Low Grade Gliomas	Recruiting	Phase-II	<a href="https://ClinicalTrials.gov/show/NC T02358187">https://ClinicalTrials.gov/show/NC T02358187</a>
	NCT03650257	A Large-scale Research for Immunotherapy of Glioblastoma With Autologous Heat Shock Protein gp96	Not yet recruiting	Phase-II	<a href="https://ClinicalTrials.gov/show/NC T03650257">https://ClinicalTrials.gov/show/NC T03650257</a>
	NCT04015700	Neoantigen-based Personalized DNA Vaccine in Patients With Newly Diagnosed, Unmethylated Glioblastoma	Not yet recruiting	Phase-I	<a href="https://ClinicalTrials.gov/show/NC T04015700">https://ClinicalTrials.gov/show/NC T04015700</a>
	NCT03223103	Safety and Immunogenicity of Personalized Genomic Vaccine and Tumor Treating Fields (TTFields) to Treat Glioblastoma	Recruiting	Phase-I	<a href="https://ClinicalTrials.gov/show/NC T03223103">https://ClinicalTrials.gov/show/NC T03223103</a>
	NCT03382977	Study to Evaluate Safety, Tolerability, and Optimal Dose of Candidate GBM Vaccine VBI-1901 in Recurrent GBM Subjects	Recruiting	Phase-I	<a href="https://ClinicalTrials.gov/show/NC T03382977">https://ClinicalTrials.gov/show/NC T03382977</a>
	NCT04116658	First-in-Human, Phase-Ib/2a Trial of a Multi-peptide Therapeutic Vaccine in Patients With Progressive Glioblastoma	Not yet recruiting	Phase-II/Phase-II	<a href="https://ClinicalTrials.gov/show/NC T04116658">https://ClinicalTrials.gov/show/NC T04116658</a>
	NCT03149003	A Study of DSP-7888 Dosing Emulsion in Combination With Bevacizumab in Patients With Recurrent or Progressive Glioblastoma Following Initial Therapy	Recruiting	Phase-II	<a href="https://ClinicalTrials.gov/show/NC T03149003">https://ClinicalTrials.gov/show/NC T03149003</a>
	NCT04013672	Study of Pembrolizumab Plus SurVaxM for Glioblastoma at First Recurrence	Not yet recruiting	Phase-II	<a href="https://ClinicalTrials.gov/show/NC T04013672">https://ClinicalTrials.gov/show/NC T04013672</a>
	NCT03665545	Pembrolizumab in Association With the IMA950/Poly-ICLC for Relapsing Glioblastoma	Recruiting	Phase-II/Phase-II	<a href="https://ClinicalTrials.gov/show/NC T03665545">https://ClinicalTrials.gov/show/NC T03665545</a>
	NCT02864368	Peptide Targets for Glioblastoma Against Novel Cytomegalovirus Antigens	Recruiting	Phase-I	<a href="https://ClinicalTrials.gov/show/NC T02864368">https://ClinicalTrials.gov/show/NC T02864368</a>
	NCT04280848	Anticancer Therapeutic Vaccination Using Telomerase-derived Universal Cancer Peptides in Glioblastoma	Recruiting	Phase-II/Phase-II	<a href="https://ClinicalTrials.gov/show/NC T04280848">https://ClinicalTrials.gov/show/NC T04280848</a>
	NCT03491683	INO-5401 and INO-9012 Delivered by Electroporation (EP) in Combination With Cemiplimab (REGN2810) in Newly-Diagnosed Glioblastoma (GBM)	Active, not recruiting	Phase-I/II	<a href="https://ClinicalTrials.gov/show/NC T03491683">https://ClinicalTrials.gov/show/NC T03491683</a>
<b>DENDRITIC CELL VACCINES</b>	NCT04201873	Pembrolizumab and a Vaccine (ATL-DC) for the Treatment of Surgically Accessible Recurrent	Not yet recruiting	Phase-I	<a href="https://ClinicalTrials.gov/show/NC T04201873">https://ClinicalTrials.gov/show/NC T04201873</a>

		Glioblastoma			
	NCT01808820	Dendritic Cell (DC) Vaccine for Malignant Glioma and Glioblastoma	Active, not recruiting	Phase-I	<a href="https://ClinicalTrials.gov/show/NC T01808820">https://ClinicalTrials.gov/show/NC T01808820</a>
	NCT02840123	Safety Study of DIPG Treatment With Autologous Dendritic Cells Pulsed With Lysated Allegenic Tumor Lines	Active, not recruiting	Phase-I	<a href="https://ClinicalTrials.gov/show/NC T02840123">https://ClinicalTrials.gov/show/NC T02840123</a>
	NCT01204684	Dendritic Cell Vaccine for Patients With Brain Tumors	Active, not recruiting	Phase-II	<a href="https://ClinicalTrials.gov/show/NC T01204684">https://ClinicalTrials.gov/show/NC T01204684</a>
	NCT02010606	Phase I Study of a Dendritic Cell Vaccine for Patients With Either Newly Diagnosed or Recurrent Glioblastoma	Active, not recruiting	Phase-I	<a href="https://ClinicalTrials.gov/show/NC T02010606">https://ClinicalTrials.gov/show/NC T02010606</a>
	NCT02529072	Nivolumab With DC Vaccines for Recurrent Brain Tumors	Active, not recruiting	Phase-I	<a href="https://ClinicalTrials.gov/show/NC T02529072">https://ClinicalTrials.gov/show/NC T02529072</a>
	NCT01326104	Vaccine Immunotherapy for Recurrent Medulloblastoma and Primitive Neuroectodermal Tumor	Active, not recruiting	Phase I/II	<a href="https://ClinicalTrials.gov/show/NC T01326104">https://ClinicalTrials.gov/show/NC T01326104</a>
	NCT00639639	Vaccine Therapy in Treating Patients With Newly Diagnosed Glioblastoma Multiforme	Active, not recruiting	Phase-I	<a href="https://ClinicalTrials.gov/show/NC T00639639">https://ClinicalTrials.gov/show/NC T00639639</a>
	NCT03615404	Cytomegalovirus (CMV) RNA-Pulsed Dendritic Cells for Pediatric Patients and Young Adults With WHO Grade IV Glioma, Recurrent Malignant Glioma, or Recurrent Medulloblastoma	Active, not recruiting	Phase-I	<a href="https://ClinicalTrials.gov/show/NC T03615404">https://ClinicalTrials.gov/show/NC T03615404</a>
	NCT02366728	DC Migration Study for Newly-Diagnosed GBM	Active, not recruiting	Phase-II	<a href="https://ClinicalTrials.gov/show/NC T02366728">https://ClinicalTrials.gov/show/NC T02366728</a>
	NCT02808364	Personalized Cellular Vaccine for Recurrent Glioblastoma (PERCELLVAC2)	Active, not recruiting	Phase-I	<a href="https://ClinicalTrials.gov/show/NC T02808364">https://ClinicalTrials.gov/show/NC T02808364</a>
	NCT02709616	Personalized Cellular Vaccine for Glioblastoma (PERCELLVAC)	Active, not recruiting	Phase-I	<a href="https://ClinicalTrials.gov/show/NC T02709616">https://ClinicalTrials.gov/show/NC T02709616</a>
	NCT03334305	Adoptive Cellular Therapy in Pediatric Patients With High-grade Gliomas	Recruiting	Phase-I	<a href="https://ClinicalTrials.gov/show/NC T03334305">https://ClinicalTrials.gov/show/NC T03334305</a>
	NCT03396575	Brain Stem Gliomas Treated With Adoptive Cellular Therapy During Focal Radiotherapy Recovery Alone or With Dose-intensified Temozolomide (Phase I)	Recruiting	Phase-I	<a href="https://ClinicalTrials.gov/show/NC T03396575">https://ClinicalTrials.gov/show/NC T03396575</a>
	NCT03879512	Autologous Dendritic Cells and Metronomic Cyclophosphamide for Relapsed High-Grade Gliomas in Children and Adolescents	Recruiting	Phase-II Phase-II	<a href="https://ClinicalTrials.gov/show/NC T03879512">https://ClinicalTrials.gov/show/NC T03879512</a>
	NCT01567202	Study of DC Vaccination Against Glioblastoma	Recruiting	Phase-II	<a href="https://ClinicalTrials.gov/show/NC T01567202">https://ClinicalTrials.gov/show/NC T01567202</a>
	NCT03927222	Immunotherapy Targeted Against Cytomegalovirus in	Recruiting	Phase-II	<a href="https://ClinicalTrials.gov/show/NC T03927222">https://ClinicalTrials.gov/show/NC T03927222</a>



	NCT02649582	Patients With Newly-Diagnosed WHO Grade IV Unmethylated Glioma Adjuvant Dendritic Cell-immunotherapy Plus Temozolomide in Glioblastoma Patients	Recruiting	Phase-II/Phase-II	T03927222 <a href="https://ClinicalTrials.gov/show/NC T02649582">https://ClinicalTrials.gov/show/NC T02649582</a>
	NCT03395587	Efficiency of Vaccination With Lysate-loaded Dendritic Cells in Patients With Newly Diagnosed Glioblastoma	Recruiting	Phase-II	<a href="https://ClinicalTrials.gov/show/NC T03395587">https://ClinicalTrials.gov/show/NC T03395587</a>
	NCT04115761	A Phase II, Randomized, Open-Label, Parallel-Group Study to Evaluate the Efficacy and Safety of Autologous Dendritic Cell Vaccination (ADCV01) as an Add-On Treatment for Primary Glioblastoma Multiforme (GBM) Patients	Recruiting	Phase-II	<a href="https://ClinicalTrials.gov/show/NC T04115761">https://ClinicalTrials.gov/show/NC T04115761</a>
	NCT03548571	Dendritic Cell Immunotherapy Against Cancer Stem Cells in Glioblastoma Patients Receiving Standard Therapy	Recruiting	Phase-III/Phase III	<a href="https://ClinicalTrials.gov/show/NC T03548571">https://ClinicalTrials.gov/show/NC T03548571</a>
	NCT03400917	Autologous Dendritic Cells Loaded With Autologous Tumor Associated Antigens for Treatment of Newly Diagnosed Glioblastoma	Recruiting	Phase-II	<a href="https://ClinicalTrials.gov/show/NC T03400917">https://ClinicalTrials.gov/show/NC T03400917</a>
	NCT02465268	Vaccine Therapy for the Treatment of Newly Diagnosed Glioblastoma Multiforme	Recruiting	Phase-II	<a href="https://ClinicalTrials.gov/show/NC T02465268">https://ClinicalTrials.gov/show/NC T02465268</a>
<b>ONCOLYTIC VIRUS</b>	NCT02798406	Combination Adenovirus + Pembrolizumab to Trigger Immune Virus Effects	Active, not recruiting	Phase-II	<a href="https://ClinicalTrials.gov/show/NC T02798406">https://ClinicalTrials.gov/show/NC T02798406</a>
	NCT02444546	Wild-Type Reovirus in Combination With Sargramostim in Treating Younger Patients With High-Grade Relapsed or Refractory Brain Tumors	Active, not recruiting	Phase-I	<a href="https://ClinicalTrials.gov/show/NC T02444546">https://ClinicalTrials.gov/show/NC T02444546</a>
	NCT03973879	Combination of PVSRIPO and Atezolizumab for Adults With Recurrent Malignant Glioma	Not yet recruiting	Phase-II/Phase-II	<a href="https://ClinicalTrials.gov/show/NC T03973879">https://ClinicalTrials.gov/show/NC T03973879</a>
	NCT03576612	GMCI, Nivolumab, and Radiation Therapy in Treating Patients With Newly Diagnosed High-Grade Gliomas	Recruiting	Phase-I	<a href="https://ClinicalTrials.gov/show/NC T03576612">https://ClinicalTrials.gov/show/NC T03576612</a>
	NCT03178032	Oncolytic Adenovirus, DNX-2401, for Naive Diffuse Intrinsic Pontine Gliomas	Recruiting	Phase-I	<a href="https://ClinicalTrials.gov/show/NC T03178032">https://ClinicalTrials.gov/show/NC T03178032</a>
	NCT03896568	Oncolytic Adenovirus DNX-2401 in Treating Patients With Recurrent High-Grade Glioma	Recruiting	Phase-I	<a href="https://ClinicalTrials.gov/show/NC T03896568">https://ClinicalTrials.gov/show/NC T03896568</a>
	NCT03072134	Neural Stem Cell Based Virotherapy of Newly Diagnosed Malignant Glioma	Recruiting	Phase-I	<a href="https://ClinicalTrials.gov/show/NC T03072134">https://ClinicalTrials.gov/show/NC T03072134</a>
	NCT03152318	A Study of the Treatment of Recurrent Malignant Glioma With rQNestin34.5v.2	Recruiting	Phase-I	<a href="https://ClinicalTrials.gov/show/NC T03152318">https://ClinicalTrials.gov/show/NC T03152318</a>
	NCT03657576	Trial of C134 in Patients With Recurrent GBM	Recruiting	Phase-I	<a href="https://ClinicalTrials.gov/show/NC T03657576">https://ClinicalTrials.gov/show/NC T03657576</a>

	NCT03294486	Safety and Efficacy of the ONCOlytic VIRus Armed for Local Chemotherapy, TG6002/5-FC, in Recurrent Glioblastoma Patients	Recruiting	Phase-I Phase-II	<a href="https://ClinicalTrials.gov/show/NC T03294486">https://ClinicalTrials.gov/show/NC T03294486</a>
	NCT02062827	Genetically Engineered HSV-1 Phase-I Study for the Treatment of Recurrent Malignant Glioma	Recruiting	Phase-I	<a href="https://ClinicalTrials.gov/show/NC T02062827">https://ClinicalTrials.gov/show/NC T02062827</a>
	NCT03714334	DNX-2440 Oncolytic Adenovirus for Recurrent Glioblastoma	Recruiting	Phase-I	<a href="https://ClinicalTrials.gov/show/NC T03714334">https://ClinicalTrials.gov/show/NC T03714334</a>
	NCT02457845	HSV G207 Alone or With a Single Radiation Dose in Children With Progressive or Recurrent Supratentorial Brain Tumors	Recruiting	Phase-I	<a href="https://ClinicalTrials.gov/show/NC T02457845">https://ClinicalTrials.gov/show/NC T02457845</a>
	NCT03911388	HSV G207 in Children With Recurrent or Refractory Cerebellar Brain Tumors	Recruiting	Phase-I	<a href="https://ClinicalTrials.gov/show/NC T03911388">https://ClinicalTrials.gov/show/NC T03911388</a>
	NCT02986178	PVSRIP0 in Recurrent Malignant Glioma	Recruiting	Phase-II	<a href="https://ClinicalTrials.gov/show/NC T02986178">https://ClinicalTrials.gov/show/NC T02986178</a>
	NCT01491893	PVSRIP0 for Recurrent Glioblastoma (GBM)	Active, not recruiting	Phase-I	<a href="https://ClinicalTrials.gov/show/NC T01491893">https://ClinicalTrials.gov/show/NC T01491893</a>
	NCT03043391	Phase-Ib Study PVSRIP0 for Recurrent Malignant Glioma in Children	Recruiting	Phase-I	<a href="https://ClinicalTrials.gov/show/NC T03043391">https://ClinicalTrials.gov/show/NC T03043391</a>
<b>GENE THERAPY</b>	NCT03679754	Evaluation of Ad-RTS-hIL-12 + Veledimex in Subjects With Recurrent or Progressive Glioblastoma, a Substudy to AT1001-102	Active, not recruiting	Phase-I	<a href="https://ClinicalTrials.gov/show/NC T03679754">https://ClinicalTrials.gov/show/NC T03679754</a>
	NCT01811992	Combined Cytotoxic and Immune-Stimulatory Therapy for Glioma	Active, not recruiting	Phase-I	<a href="https://ClinicalTrials.gov/show/NC T01811992">https://ClinicalTrials.gov/show/NC T01811992</a>
	NCT03330197	A Study of Ad-RTS-hIL-12 + Veledimex in Pediatric Subjects With Brain Tumors or DIPG	Active, not recruiting	Phase-I	<a href="https://ClinicalTrials.gov/show/NC T03330197">https://ClinicalTrials.gov/show/NC T03330197</a>
	NCT02026271	A Study of Ad-RTS-hIL-12 With Veledimex in Subjects With Glioblastoma or Malignant Glioma	Active, not recruiting	Phase-I	<a href="https://ClinicalTrials.gov/show/NC T02026271">https://ClinicalTrials.gov/show/NC T02026271</a>
	NCT04006119	Study of Ad-RTS-hIL-12 + Veledimex in Combination With Cemiplimab in Subjects With Recurrent or Progressive Glioblastoma	Recruiting	Phase-II	<a href="https://ClinicalTrials.gov/show/NC T04006119">https://ClinicalTrials.gov/show/NC T04006119</a>
	NCT03636477	A Study of Ad-RTS-hIL-12 With Veledimex in Combination With Nivolumab in Subjects With Glioblastoma; a Substudy to AT1001-102	Recruiting	Phase-I	<a href="https://ClinicalTrials.gov/show/NC T03636477">https://ClinicalTrials.gov/show/NC T03636477</a>
<b>IMMUNOSUPPRESSIVE CHECKPOINT INHIBITORS</b>	NCT02794883	Tremelimumab and Durvalumab in Combination or Alone in Treating Patients With Recurrent Malignant Glioma	Active, not recruiting	Phase-II	<a href="https://ClinicalTrials.gov/show/NC T02794883">https://ClinicalTrials.gov/show/NC T02794883</a>
	NCT02311920	Ipilimumab and/or Nivolumab in Combination With Temozolomide in Treating Patients With Newly Diagnosed Glioblastoma or	Active, not recruiting	Phase-I	<a href="https://ClinicalTrials.gov/show/NC T02311920">https://ClinicalTrials.gov/show/NC T02311920</a>

NCT02337686	Gliosarcoma Pembrolizumab in Treating Patients With Recurrent Glioblastoma	Active, not recruiting	Phase-II	<a href="https://ClinicalTrials.gov/show/NC T02337686">https://ClinicalTrials.gov/show/NC T02337686</a>
NCT02530502	Radiation Therapy With Temozolomide and Pembrolizumab in Treating Patients With Newly Diagnosed Glioblastoma	Active, not recruiting	Phase-I	<a href="https://ClinicalTrials.gov/show/NC T02530502">https://ClinicalTrials.gov/show/NC T02530502</a>
NCT02968940	Avelumab With Hypofractionated Radiation Therapy in Adults With Isocitrate Dehydrogenase (IDH) Mutant Glioblastoma A Pilot Surgical Trial To Evaluate Early Immunologic Pharmacodynamic Parameters For The PD-1 Checkpoint Inhibitor, Pembrolizumab (MK-3475), In Patients With Surgically Accessible	Active, not recruiting	Phase-II	<a href="https://ClinicalTrials.gov/show/NC T02968940">https://ClinicalTrials.gov/show/NC T02968940</a>
NCT02852655	Recurrent/Progressive Glioblastoma	Active, not recruiting	Phase-I	<a href="https://ClinicalTrials.gov/show/NC T02852655">https://ClinicalTrials.gov/show/NC T02852655</a>
NCT02526017	Study of Cabiralizumab in Combination With Nivolumab in Patients With Selected Advanced Cancers	Active, not recruiting	Phase-I	<a href="https://ClinicalTrials.gov/show/NC T02526017">https://ClinicalTrials.gov/show/NC T02526017</a>
NCT02359565	Pembrolizumab in Treating Younger Patients With Recurrent, Progressive, or Refractory High-Grade Gliomas, Diffuse Intrinsic Pontine Gliomas, Hypermutated Brain Tumors, Ependymoma or Medulloblastoma	Recruiting	Phase-I	<a href="https://ClinicalTrials.gov/show/NC T02359565">https://ClinicalTrials.gov/show/NC T02359565</a>
NCT03690869	REGN2810 in Pediatric Patients With Relapsed, Refractory Solid, or CNS Tumors and Safety and Efficacy of REGN2810 in Combination With Radiotherapy in Pediatric Patients With Newly Diagnosed or rGlioma	Recruiting	Phase-I Phase-II	<a href="https://ClinicalTrials.gov/show/NC T03690869">https://ClinicalTrials.gov/show/NC T03690869</a>
NCT03743662	Nivolumab With Radiation Therapy and Bevacizumab for Recurrent MGMT Methylated Glioblastoma	Recruiting	Phase-II	<a href="https://ClinicalTrials.gov/show/NC T03743662">https://ClinicalTrials.gov/show/NC T03743662</a>
NCT04145115	A Study Testing the Effect of Immunotherapy (Ipilimumab and Nivolumab) for People With Recurrent Glioblastoma With Elevated Mutational Burden	Not yet recruiting	Phase-II	<a href="https://ClinicalTrials.gov/show/NC T04145115">https://ClinicalTrials.gov/show/NC T04145115</a>
NCT03341806	Avelumab With Laser Interstitial Therapy for Recurrent Glioblastoma	Recruiting	Phase-I	<a href="https://ClinicalTrials.gov/show/NC T03341806">https://ClinicalTrials.gov/show/NC T03341806</a>
NCT03707457	Biomarker-Driven Therapy Using Immune Activators With Nivolumab in Patients With First Recurrence of Glioblastoma	Recruiting	Phase-I	<a href="https://ClinicalTrials.gov/show/NC T03707457">https://ClinicalTrials.gov/show/NC T03707457</a>
NCT03673787	A Trial of Ipatasertib in Combination With Atezolizumab	Recruiting	Phase-I Phase-II	<a href="https://ClinicalTrials.gov/show/NC T03673787">https://ClinicalTrials.gov/show/NC T03673787</a>

NCT02311582	MK-3475 in Combination With MRI-guided Laser Ablation in Recurrent Malignant Gliomas	Recruiting	Phase-II	<a href="https://ClinicalTrials.gov/show/NC T02311582">https://ClinicalTrials.gov/show/NC T02311582</a>
NCT03925246	Efficacy of Nivolumab for Recurrent IDH Mutated High-Grade Gliomas	Recruiting	Phase-II	<a href="https://ClinicalTrials.gov/show/NC T03925246">https://ClinicalTrials.gov/show/NC T03925246</a>
NCT03718767	Nivolumab in People With IDH-Mutant Gliomas With and Without Hypermutator Phenotype	Recruiting	Phase-II	<a href="https://ClinicalTrials.gov/show/NC T03718767">https://ClinicalTrials.gov/show/NC T03718767</a>
NCT03557359	Nivolumab for Recurrent or Progressive IDH Mutant Gliomas	Recruiting	Phase-II	<a href="https://ClinicalTrials.gov/show/NC T03557359">https://ClinicalTrials.gov/show/NC T03557359</a>
NCT03173950	Immune Checkpoint Inhibitor Nivolumab in People With Select Rare CNS Cancers	Recruiting	Phase-II	<a href="https://ClinicalTrials.gov/show/NC T03173950">https://ClinicalTrials.gov/show/NC T03173950</a>
NCT03493932	Cytokine Microdialysis for Real-Time Immune Monitoring in Glioblastoma Patients Undergoing Checkpoint Blockade	Recruiting	Phase-I	<a href="https://ClinicalTrials.gov/show/NC T03493932">https://ClinicalTrials.gov/show/NC T03493932</a>
NCT03991832	Study of Olaparib and Durvalumab in IDH-Mutated Solid Tumors	Not yet recruiting	Phase-II	<a href="https://ClinicalTrials.gov/show/NC T03991832">https://ClinicalTrials.gov/show/NC T03991832</a>
NCT03405792	Study Testing The Safety and Efficacy of Adjuvant Temozolomide Plus TTFIELDS (Optune®) Plus Pembrolizumab in Patients With Newly Diagnosed Glioblastoma (2-THE-TOP)	Recruiting	Phase-II	<a href="https://ClinicalTrials.gov/show/NC T03405792">https://ClinicalTrials.gov/show/NC T03405792</a>
NCT03890952	Translational Study of Nivolumab in Combination With Bevacizumab for Recurrent Glioblastoma	Recruiting	Phase-II	<a href="https://ClinicalTrials.gov/show/NC T03890952">https://ClinicalTrials.gov/show/NC T03890952</a>
NCT03452579	Nivolumab Plus Standard Dose Bevacizumab Versus Nivolumab Plus Low Dose Bevacizumab in GBM	Recruiting	Phase-II	<a href="https://ClinicalTrials.gov/show/NC T03452579">https://ClinicalTrials.gov/show/NC T03452579</a>
NCT03277638	Laser Interstitial Thermotherapy (LITT) Combined With Checkpoint Inhibitor for Recurrent GBM (RGBM)	Recruiting	Phase-II	<a href="https://ClinicalTrials.gov/show/NC T03277638">https://ClinicalTrials.gov/show/NC T03277638</a>
NCT03174197	Atezolizumab in Combination With Temozolomide and Radiation Therapy in Treating Patients With Newly Diagnosed Glioblastoma	Recruiting	Phase-II	<a href="https://ClinicalTrials.gov/show/NC T03174197">https://ClinicalTrials.gov/show/NC T03174197</a>
NCT03233152	Intra-tumoral Ipilimumab Plus Intravenous Nivolumab Following the Resection of Recurrent Glioblastoma	Recruiting	Phase-I	<a href="https://ClinicalTrials.gov/show/NC T03233152">https://ClinicalTrials.gov/show/NC T03233152</a>
NCT03047473	Avelumab in Patients With Newly Diagnosed Glioblastoma Multiforme	Recruiting	Phase-II	<a href="https://ClinicalTrials.gov/show/NC T03047473">https://ClinicalTrials.gov/show/NC T03047473</a>
NCT04047706	Nivolumab, BMS-986205, and Radiation Therapy With or Without Temozolomide in Treating Patients With Newly Diagnosed Glioblastoma	Recruiting	Phase-I	<a href="https://ClinicalTrials.gov/show/NC T04047706">https://ClinicalTrials.gov/show/NC T04047706</a>
NCT03899857	Pembrolizumab for Newly Diagnosed Glioblastoma	Not yet recruiting	Phase-II	<a href="https://ClinicalTrials.gov/show/NC T03899857">https://ClinicalTrials.gov/show/NC T03899857</a>

	NCT03722342	TTAC-0001 and Pembrolizumab Combination phase 1b Trial in Recurrent Glioblastoma	Recruiting	Phase-I	T03899857 <a href="https://ClinicalTrials.gov/show/NC T03722342">https://ClinicalTrials.gov/show/NC T03722342</a>
	NCT03961971	Trial of Anti-Tim-3 in Combination With Anti-PD-1 and SRS in Recurrent GBM	Not yet recruiting	Phase-I	<a href="https://ClinicalTrials.gov/show/NC T03961971">https://ClinicalTrials.gov/show/NC T03961971</a>
	NCT02336165	Phase-II Study of MEDI4736 in Patients With Glioblastoma	Active, not recruiting	Phase-II	<a href="https://ClinicalTrials.gov/show/NC T02336165">https://ClinicalTrials.gov/show/NC T02336165</a>
	NCT02658981	Anti-LAG-3 Alone & in Combination w/ Nivolumab Treating Patients w/ Recurrent GBM (Anti-CD137 Arm Closed 10/16/18)	Recruiting	Phase-I	<a href="https://ClinicalTrials.gov/show/NC T02658981">https://ClinicalTrials.gov/show/NC T02658981</a>
	NCT03797326	Efficacy and Safety of Pembrolizumab (MK-3475) Plus Lenvatinib (E7080/MK-7902) in Previously Treated Participants With Select Solid Tumors (MK-7902-005/E7080-G000-224/LEAP-005)	Recruiting	Phase-II	<a href="https://ClinicalTrials.gov/show/NC T03797326">https://ClinicalTrials.gov/show/NC T03797326</a>
	NCT04225039	Anti-GITR/Anti-PD1/Stereotactic Radiosurgery, in Recurrent Glioblastoma	Not yet recruiting	Phase-II	<a href="https://ClinicalTrials.gov/show/NC T04225039">https://ClinicalTrials.gov/show/NC T04225039</a>
	NCT04195139	Nivolumab and Temozolomide Versus Temozolomide Alone in Newly Diagnosed Elderly Patients With GBM	Recruiting	Phase-II	<a href="https://ClinicalTrials.gov/show/NC T04195139">https://ClinicalTrials.gov/show/NC T04195139</a>
	NCT04267146	Nivolumab in Combination With Temozolomide and Radiotherapy in Children and Adolescents With Newly Diagnosed High-grade Glioma	Recruiting	Phase-II/Phase-II	<a href="https://ClinicalTrials.gov/show/NC T04267146">https://ClinicalTrials.gov/show/NC T04267146</a>
	NCT03661723	Pembrolizumab and Reirradiation in Bevacizumab Naïve and Bevacizumab Resistant Recurrent Glioblastoma	Recruiting	Phase-II	<a href="https://ClinicalTrials.gov/show/NC T03661723">https://ClinicalTrials.gov/show/NC T03661723</a>
<b>CAR T-CELLS</b>	NCT03389230	Memory-Enriched T Cells in Treating Patients With Recurrent or Refractory Grade III-IV Glioma	Recruiting	Phase-I	<a href="https://ClinicalTrials.gov/show/NC T03389230">https://ClinicalTrials.gov/show/NC T03389230</a>
	NCT02208362	Genetically Modified T-cells in Treating Patients With Recurrent or Refractory Malignant Glioma	Recruiting	Phase-I	<a href="https://ClinicalTrials.gov/show/NC T02208362">https://ClinicalTrials.gov/show/NC T02208362</a>
	NCT04099797	C7R-GD2.CAR T Cells for Treating Patients With GD2-expressing Brain Tumors (GAIL-B)	Not yet recruiting	Phase-I	<a href="https://ClinicalTrials.gov/show/NC T04099797">https://ClinicalTrials.gov/show/NC T04099797</a>
	NCT04003649	IL13Ralpha2-Targeted Chimeric Antigen Receptor (CAR) T Cells With or Without Nivolumab and Ipilimumab in Treating Patients With Recurrent or Refractory Glioblastoma	Recruiting	Phase-I	<a href="https://ClinicalTrials.gov/show/NC T04003649">https://ClinicalTrials.gov/show/NC T04003649</a>
	NCT03726515	CART-EGFRvIII + Pembrolizumab in GBM	Recruiting	Phase-I	<a href="https://ClinicalTrials.gov/show/NC T03726515">https://ClinicalTrials.gov/show/NC T03726515</a>
	NCT03383978	Intracranial Injection of NK-92/5.28.z Cells in Patients With Recurrent HER2-positive	Recruiting	Phase-I	<a href="https://ClinicalTrials.gov/show/NC T03383978">https://ClinicalTrials.gov/show/NC T03383978</a>

		Glioblastoma			
	NCT03941626	Autologous CAR-T/TCR-T Cell Immunotherapy for Solid Malignancies	Recruiting	Phase-I/Phase-II	<a href="https://ClinicalTrials.gov/show/NC T03941626">https://ClinicalTrials.gov/show/NC T03941626</a>
	NCT03638167	EGFR806-specific CAR T Cell Locoregional Immunotherapy for EGFR-positive Recurrent or Refractory Pediatric CNS Tumors	Recruiting	Phase-I	<a href="https://ClinicalTrials.gov/show/NC T03638167">https://ClinicalTrials.gov/show/NC T03638167</a>
	NCT03500991	HER2-specific CAR T Cell Locoregional Immunotherapy for HER2-positive Recurrent/Refractory Pediatric CNS Tumors	Recruiting	Phase-I	<a href="https://ClinicalTrials.gov/show/NC T03500991">https://ClinicalTrials.gov/show/NC T03500991</a>
	NCT04077866	B7-H3 CAR-T for Recurrent or Refractory Glioblastoma	Not yet recruiting	Phase-I/Phase-II	<a href="https://ClinicalTrials.gov/show/NC T04077866">https://ClinicalTrials.gov/show/NC T04077866</a>
	NCT03283631	Intracerebral EGFR-vIII CAR-T Cells for Recurrent GBM	Recruiting	Phase-I	<a href="https://ClinicalTrials.gov/show/NC T03283631">https://ClinicalTrials.gov/show/NC T03283631</a>
	NCT03423992	Personalized Chimeric Antigen Receptor T Cell Immunotherapy for Patients With Recurrent Malignant Gliomas	Recruiting	Phase-I	<a href="https://ClinicalTrials.gov/show/NC T03423992">https://ClinicalTrials.gov/show/NC T03423992</a>
	NCT04196413	GD2 CAR T Cells in Diffuse/Intrinsic/Pontine Gliomas(DIPG) & Spinal Diffuse/Midline Glioma(DMG) Study of B7-H3-Specific CAR T Cell Locoregional Immunotherapy for Diffuse Intrinsic Pontine Glioma/Diffuse Midline Glioma and Recurrent or Refractory Pediatric Central Nervous System Tumors	Not yet recruiting	Phase-I	<a href="https://ClinicalTrials.gov/show/NC T04196413">https://ClinicalTrials.gov/show/NC T04196413</a>
	NCT04185038	Chimeric Antigen Receptor (CAR) T Cells With a Chlorotoxin Tumor-Targeting Domain for the Treatment of Recurrent or Progressive Glioblastoma	Recruiting	Phase-I	<a href="https://ClinicalTrials.gov/show/NC T04185038">https://ClinicalTrials.gov/show/NC T04185038</a>
	NCT04214392	NKG2D-based CAR T-cells Immunotherapy for Patient With r/r NKG2DL+ Solid Tumors	Not yet recruiting	Phase-I	<a href="https://ClinicalTrials.gov/show/NC T04214392">https://ClinicalTrials.gov/show/NC T04214392</a>
	NCT04270461		Not yet recruiting	Phase-I	<a href="https://ClinicalTrials.gov/show/NC T04270461">https://ClinicalTrials.gov/show/NC T04270461</a>
	NCT03638206	Autologous CAR-T/TCR-T Cell Immunotherapy for Malignancies	Recruiting	Phase-I/Phase-II	<a href="https://ClinicalTrials.gov/show/NC T03638206">https://ClinicalTrials.gov/show/NC T03638206</a>
<b>ANTIBODY DELIVERY</b>	NCT01478321	Efficacy of Hypofractionated XRT w/Bev. + Temozolomide for Recurrent Gliomas	Active, not recruiting	Phase-II	<a href="https://ClinicalTrials.gov/show/NC T01478321">https://ClinicalTrials.gov/show/NC T01478321</a>
	NCT02330562	Stage 1: Marizomib + Bevacizumab in WHO Gr IV GBM; Stage 2: Marizomib Alone; Stage 3: Combination of Marizomib and Bevacizumab	Active, not recruiting	Phase I/II	<a href="https://ClinicalTrials.gov/show/NC T02330562">https://ClinicalTrials.gov/show/NC T02330562</a>
	NCT00337207	Bevacizumab in Treating Patients With Recurrent or Progressive Glioma	Active, not recruiting	Phase-II	<a href="https://ClinicalTrials.gov/show/NC T00337207">https://ClinicalTrials.gov/show/NC T00337207</a>
	NCT01392209	Hypofractionated Stereotactic Radiotherapy With Bevacizumab in the Treatment of Recurrent Malignant Glioma	Active, not recruiting	Phase-I	<a href="https://ClinicalTrials.gov/show/NC T01392209">https://ClinicalTrials.gov/show/NC T01392209</a>

NCT01730950	Bevacizumab With or Without Radiation Therapy in Treating Patients With Recurrent Glioblastoma	Active, not recruiting	Phase-II	<a href="https://ClinicalTrials.gov/show/NC T01730950">https://ClinicalTrials.gov/show/NC T01730950</a>
NCT01125046	Bevacizumab in Treating Patients With Recurrent or Progressive Meningiomas	Active, not recruiting	Phase-II	<a href="https://ClinicalTrials.gov/show/NC T01125046">https://ClinicalTrials.gov/show/NC T01125046</a>
NCT02142803	TORC1/2 Inhibitor MLN0128 and Bevacizumab in Treating Patients With Recurrent Glioblastoma or Advanced Solid Tumors	Active, not recruiting	Phase-I	<a href="https://ClinicalTrials.gov/show/NC T02142803">https://ClinicalTrials.gov/show/NC T02142803</a>
NCT01149850	Bevacizumab and Temozolomide in Treating Older Patients With Newly-Diagnosed Glioblastoma	Active, not recruiting	Phase-II	<a href="https://ClinicalTrials.gov/show/NC T01149850">https://ClinicalTrials.gov/show/NC T01149850</a>
NCT01609790	Multiforme or Gliosarcoma Bevacizumab With or Without Trebananib in Treating Patients With Recurrent Brain Tumors	Active, not recruiting	Phase-II	<a href="https://ClinicalTrials.gov/show/NC T01609790">https://ClinicalTrials.gov/show/NC T01609790</a>
NCT02974621	Cediranib Maleate and Olaparib Compared to Bevacizumab in Treating Patients With Recurrent Glioblastoma	Active, not recruiting	Phase-II	<a href="https://ClinicalTrials.gov/show/NC T02974621">https://ClinicalTrials.gov/show/NC T02974621</a>
NCT03139916	Bavituximab With Radiation and Temozolomide for Patients With Newly Diagnosed Glioblastoma	Active, not recruiting	Phase-II	<a href="https://ClinicalTrials.gov/show/NC T03139916">https://ClinicalTrials.gov/show/NC T03139916</a>
NCT02540161	Phase-II Study of Sym004 for Adult Patients With Recurrent Glioblastoma	Active, not recruiting	Phase-II	<a href="https://ClinicalTrials.gov/show/NC T02540161">https://ClinicalTrials.gov/show/NC T02540161</a>
NCT00445965	Iodine I 131 Monoclonal Antibody 3F8 in Treating Patients With Central Nervous System Cancer or Leptomeningeal Cancer	Active, not recruiting	Phase-II	<a href="https://ClinicalTrials.gov/show/NC T00445965">https://ClinicalTrials.gov/show/NC T00445965</a>
NCT01631552	Phase I/II Study of IMMU-132 in Patients With Epithelial Cancers	Active, not recruiting	Phase-I/II	<a href="https://ClinicalTrials.gov/show/NC T01631552">https://ClinicalTrials.gov/show/NC T01631552</a>
NCT02573324	A Study of ABT-414 in Subjects With Newly Diagnosed Glioblastoma (GBM) With Epidermal Growth Factor Receptor (EGFR) Amplification	Active, not recruiting	Phase-II/III	<a href="https://ClinicalTrials.gov/show/NC T02573324">https://ClinicalTrials.gov/show/NC T02573324</a>
NCT02590263	Study Evaluating ABT-414 in Japanese Subjects With Malignant Glioma	Active, not recruiting	Phase-I/II	<a href="https://ClinicalTrials.gov/show/NC T02590263">https://ClinicalTrials.gov/show/NC T02590263</a>
NCT03779230	Safety and Efficacy of L19TNF in Patients With Isocitrate Dehydrogenase (IDH) Wildtype WHO Grade III / IV Glioma at First Relapse	Recruiting	Phase-II/Phase-II	<a href="https://ClinicalTrials.gov/show/NC T03779230">https://ClinicalTrials.gov/show/NC T03779230</a>
NCT03687957	rhIL-7-hyFc on Increasing Lymphocyte Counts in Patients With Newly Diagnosed Non-lymphopenic Gliomas Following Radiation and Temzolomide	Recruiting	Phase-II/Phase-II	<a href="https://ClinicalTrials.gov/show/NC T03687957">https://ClinicalTrials.gov/show/NC T03687957</a>
NCT03620032	Study of Re-irradiation at Relapse Versus RT and Multiple Elective rt Courses	Recruiting	Phase-II	<a href="https://ClinicalTrials.gov/show/NC T03620032">https://ClinicalTrials.gov/show/NC T03620032</a>
NCT02303678	D2C7 for Adult Patients With Recurrent Malignant Glioma	Recruiting	Phase-I	<a href="https://ClinicalTrials.gov/show/NC T02303678">https://ClinicalTrials.gov/show/NC T02303678</a>
NCT03389802	Phase I Study of APX005M in Pediatric CNS Tumors	Recruiting	Phase-I	<a href="https://ClinicalTrials.gov/show/NC T03389802">https://ClinicalTrials.gov/show/NC T03389802</a>

		Phase I Study of Monoclonal Antibody (GS) 5745, an Matix Metalloproteinase 9 (MMP9) Mab Inhibitor, in Combination With Bevacizumab in Patients With Recurrent Glioblastoma	Not yet recruiting	Phase-I	T03389802 <a href="https://ClinicalTrials.gov/show/NC T03631836">https://ClinicalTrials.gov/show/NC T03631836</a>
	NCT03631836	Super Selective Intra-arterial Repeated Infusion of Cetuximab (Erbix) With Reirradiation for Treatment of Relapsed/Refractory GBM, AA, and AOA	Recruiting	Phase-II	<a href="https://ClinicalTrials.gov/show/NC T02800486">https://ClinicalTrials.gov/show/NC T02800486</a>
	NCT02800486	TTAC-0001 Phase II Trial With Recurrent Glioblastoma Progressed on Bevacizumab	Not yet recruiting	Phase-II	<a href="https://ClinicalTrials.gov/show/NC T03856099">https://ClinicalTrials.gov/show/NC T03856099</a>
	NCT03856099	A Trial of KB004 in Patients With Glioblastoma	Recruiting	Phase-I	<a href="https://ClinicalTrials.gov/show/NC T03374943">https://ClinicalTrials.gov/show/NC T03374943</a>
	NCT03374943	GC1118 in Recurrent Glioblastoma Patients With High EGFR Amplification	Recruiting	Phase-II	<a href="https://ClinicalTrials.gov/show/NC T03618667">https://ClinicalTrials.gov/show/NC T03618667</a>
	NCT03618667	NovoTTF-100A With Bevacizumab (Avastin) in Patients With Recurrent Glioblastoma	Recruiting	Phase-II	<a href="https://ClinicalTrials.gov/show/NC T01894061">https://ClinicalTrials.gov/show/NC T01894061</a>
	NCT01894061	Dose-escalation Study to Evaluate the Safety and Tolerability of GX-I7 in Patients With Glioblastoma	Recruiting	Phase-II	<a href="https://ClinicalTrials.gov/show/NC T03619239">https://ClinicalTrials.gov/show/NC T03619239</a>
	NCT03619239	Capecitabine + Bevacizumab in Patients With Recurrent Glioblastoma	Recruiting	Phase-I	<a href="https://ClinicalTrials.gov/show/NC T02669173">https://ClinicalTrials.gov/show/NC T02669173</a>
	NCT02669173	D2C7-IT With Atezolizumab for Recurrent Gliomas	Not yet recruiting	Phase-I	<a href="https://ClinicalTrials.gov/show/NC T04160494">https://ClinicalTrials.gov/show/NC T04160494</a>
	NCT04160494	Phase I Clinical Study of GB222 to Evaluate the Safety, Tolerability and PK Profiles.	Recruiting	Phase-I	<a href="https://ClinicalTrials.gov/show/NC T04178057">https://ClinicalTrials.gov/show/NC T04178057</a>
	NCT04178057	A Study of Low Dose Bevacizumab With Conventional Radiotherapy Alone in Diffuse Intrinsic Pontine Glioma	Not yet recruiting	Phase II	<a href="https://ClinicalTrials.gov/show/NC T04250064">https://ClinicalTrials.gov/show/NC T04250064</a>
	NCT04250064	Pomalidomide in Treating Younger Patients With Recurrent, Progressive, or Refractory Central Nervous System Tumors	Active, not recruiting	Phase-I	<a href="https://ClinicalTrials.gov/show/NC T02415153">https://ClinicalTrials.gov/show/NC T02415153</a>
<b>OTHERS</b>	NCT02415153	A Study of Pomalidomide Monotherapy for Children and Young Adults With Recurrent or Progressive Primary Brain Tumors	Active, not recruiting	Phase-II	<a href="https://ClinicalTrials.gov/show/NC T03257631">https://ClinicalTrials.gov/show/NC T03257631</a>
	NCT03257631	Epacadostat in Combination With Radiation Therapy and Avelumab in Patients With Recurrent Gliomas	Not yet recruiting	Phase-I Phase-II	<a href="https://ClinicalTrials.gov/show/NC T03532295">https://ClinicalTrials.gov/show/NC T03532295</a>
	NCT03532295	Pediatric Trial of Indoximod With Chemotherapy and Radiation for Relapsed Brain Tumors or Newly Diagnosed DIPG	Recruiting	Phase-II	<a href="https://ClinicalTrials.gov/show/NC T04049669">https://ClinicalTrials.gov/show/NC T04049669</a>
	NCT04049669				



NCT02502708	Study of the IDO Pathway Inhibitor, Indoximod, and Temozolomide for Pediatric Patients With Progressive Primary Malignant Brain Tumors	Recruiting	Phase-I	<a href="https://ClinicalTrials.gov/show/NC T02502708">https://ClinicalTrials.gov/show/NC T02502708</a>
NCT03392545	Combination of Immunization and Radiotherapy for Malignant Gliomas (InSituVac1)	Recruiting	Phase-I	<a href="https://ClinicalTrials.gov/show/NC T03392545">https://ClinicalTrials.gov/show/NC T03392545</a>
NCT02661282	Autologous CMV-Specific Cytotoxic T Cells and Temozolomide in Treating Patients With Glioblastoma	Recruiting	Phase-I Phase-II	<a href="https://ClinicalTrials.gov/show/NC T02661282">https://ClinicalTrials.gov/show/NC T02661282</a>
NCT04254419	Intra-tumoral Injection of Natural Killer Cells in High-Grade Gliomas	Not yet recruiting	Phase-I	<a href="https://ClinicalTrials.gov/show/NC T04254419">https://ClinicalTrials.gov/show/NC T04254419</a>
NCT04102436	Non-Viral TCR Gene Therapy	Recruiting	Phase-II	<a href="https://ClinicalTrials.gov/show/NC T04102436">https://ClinicalTrials.gov/show/NC T04102436</a>
NCT03344250	Phase I EGFR BATs in Newly Diagnosed Glioblastoma	Recruiting	Phase-I	<a href="https://ClinicalTrials.gov/show/NC T03344250">https://ClinicalTrials.gov/show/NC T03344250</a>
NCT03412877	Administration of Autologous T-Cells Genetically Engineered to Express T-Cell Receptors Reactive Against Mutated Neoantigens in People With Metastatic Cancer	Recruiting	Phase-II	<a href="https://ClinicalTrials.gov/show/NC T03412877">https://ClinicalTrials.gov/show/NC T03412877</a>
NCT02343224	Pegylated Interferon ALFA-2b in Children With Juvenile Pilocytic Astrocytomas and Optic Pathway Gliomas	Recruiting	Phase-II	<a href="https://ClinicalTrials.gov/show/NC T02343224">https://ClinicalTrials.gov/show/NC T02343224</a>
NCT03866109	A Phase I/IIa Study Evaluating Temferon in Patients With Glioblastoma & Unmethylated MGMT	Recruiting	Phase-I Phase-II	<a href="https://ClinicalTrials.gov/show/NC T03866109">https://ClinicalTrials.gov/show/NC T03866109</a>
NCT01188096	A Trial of Poly-ICLC in the Management of Recurrent Pediatric Low Grade Gliomas	Active, not recruiting	Phase-II	<a href="https://ClinicalTrials.gov/show/NC T01188096">https://ClinicalTrials.gov/show/NC T01188096</a>

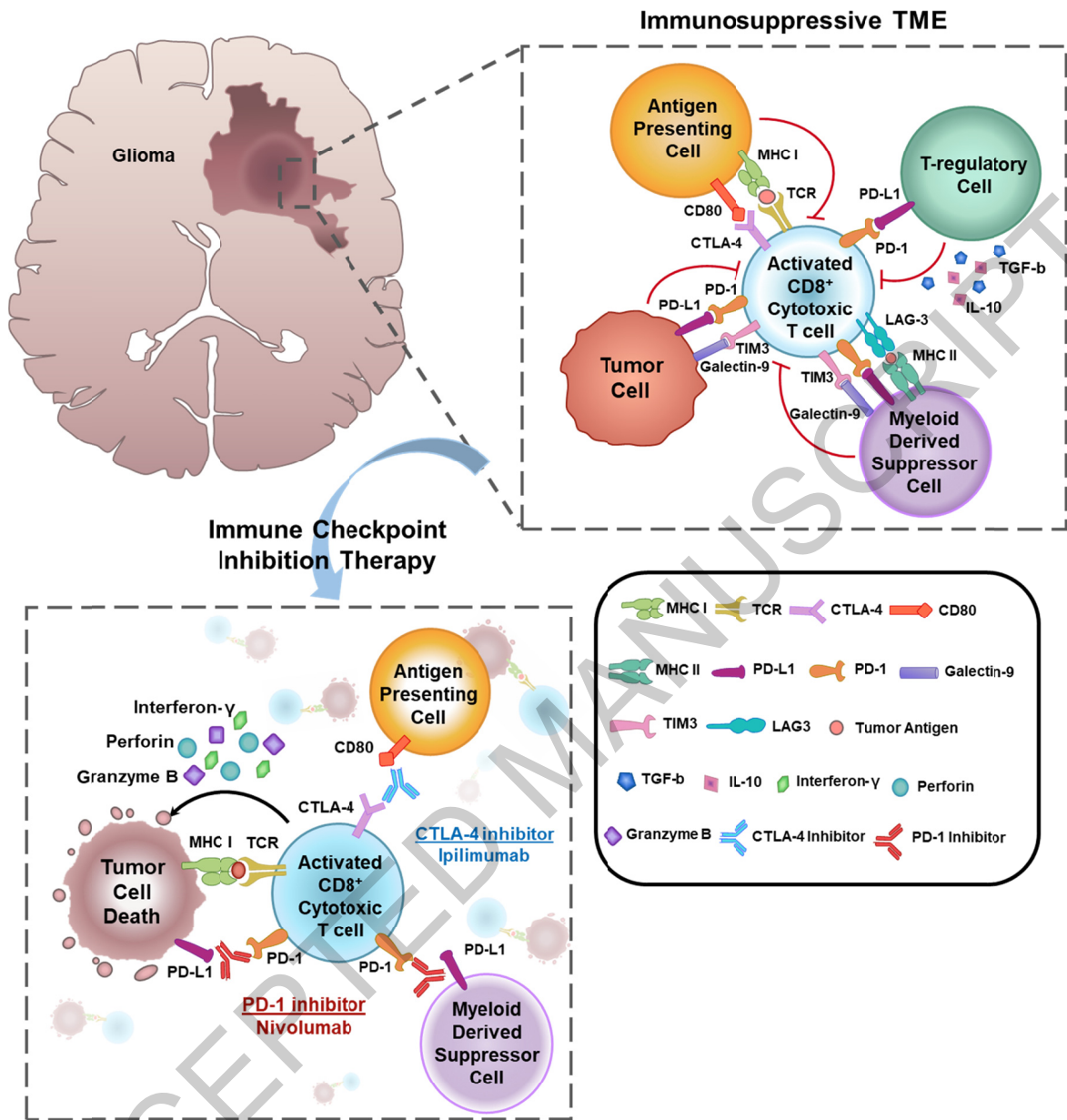
## FIGURE LEGENDS

**Figure 1. Immune Checkpoint Inhibitors Therapy for glioma.** The immunosuppressive microenvironment, which abrogates the antitumor activity of effector T-cells, is a characteristic of malignant glioma. Within the local tumor microenvironment, glioma cells express PD-L1 that interacts with PD-1 on CD8 T-cells, eliciting immune evasion. Tregs suppress immune responses by secreting cytokines like TGF- $\beta$  and IL-10. These factors shift the activity of resident APCs towards a more tolerogenic state to inhibit T-cell function. The engagement of CD80 on APCs with the self-inhibitory signal receptor CTLA-4 prevents T-cell activation. There is also recruitment and accumulation of myeloid derived suppressor cells, which engage co-inhibitory receptors Tim3 and Lag3 on activated T-cells, suppressing their activity. Immune checkpoint inhibitors, such as monoclonal antibodies targeting PD-1 (i.e., Nivolumab), PD-L1 (i.e., Durvalumab) and CTLA-4 (i.e., Ipilimumab) remove the hurdle and restore the immune response of activating tumor-specific CD8 + T-cells.

**Figure 2. Schematic of DC vaccine generation being tested in clinical trials.** After tumor resection, tumor cells are used to obtain the lysate or to extract its RNA. Autologous DCs are obtained by isolation of PBMCs by leukapheresis and ex vivo differentiation into monocytic-derived DC. DC could be pulsed with tumor antigens like autologous or allogeneic tumor lysate, TT-RNA, TAA or TSA peptides or with neo-antigens. DCV therapy is combined with adjuvants like GM-CSF, tetanus/diphtheria toxoid or TLR agonist to improve its effect. Combination with the SOC and/or non-standard therapies are being assessed in ongoing trials.

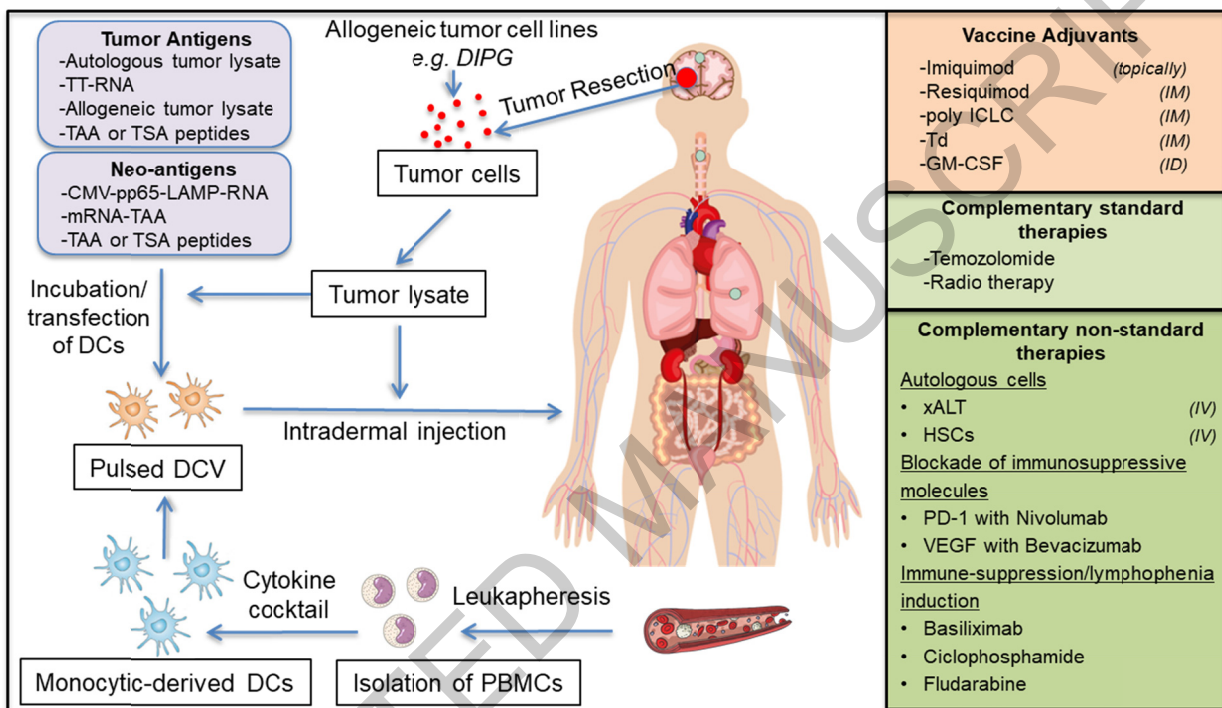
**Figure 3: Bicompartamental polymeric particles.** A.-C.) Confocal microscopy images of particles where A. shows the PLGA compartment, B. is the PLGA acetal-modified dextran compartment, and C. shows both compartments. D. Scanning Electron Microscopy image. Scale bar: 10  $\mu$ M. Adapted from [275].

**Figure 1**



**Figure 1. Immune Checkpoint Inhibitors Therapy for glioma.** The immunosuppressive microenvironment, which abrogates the antitumor activity of effector T-cells, is a characteristic of malignant glioma. Within the local tumor microenvironment, glioma cells express PD-L1 that interacts with PD-1 on CD8 T-cells, eliciting immune evasion. Tregs suppress immune responses by secreting cytokines like TGF- $\beta$  and IL-10. These factors shift the activity of resident APCs towards a more tolerogenic state to inhibit T-cell function. The engagement of CD80 on APCs with the self-inhibitory signal receptor CTLA-4 prevents T-cell activation. There is also recruitment and accumulation of MDSC, which engage co-inhibitory receptors Tim3 and Lag3 on activated T-cells, suppressing their activity. Immune checkpoint inhibitors, such as monoclonal antibodies targeting PD-1 (i.e., Nivolumab), PD-L1 (i.e., Durvalumab) and CTLA-4 (i.e., Ipilimumab) remove the hurdle and restore the immune response of activating tumor-specific CD8 + T-cells.

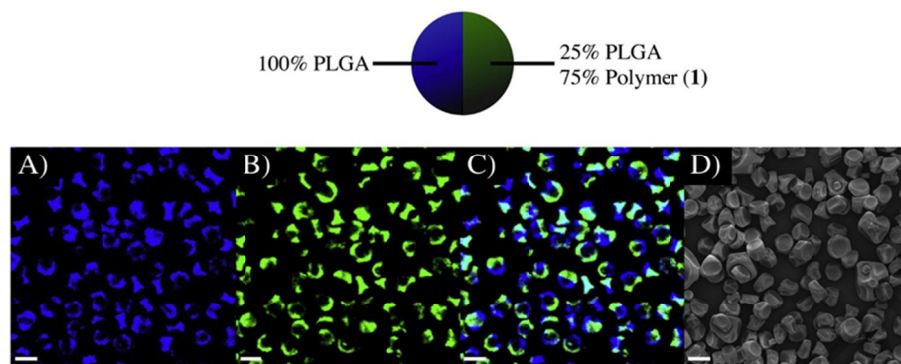
**Figure 2**



Total tumor RNA (TT-RNA); mRNA tumor autologous antigen (mRNA-TAA); intradermal (ID); intramuscular (IM); intravenous (I.V.); *ex vivo* expanded autologous lymphocyte transfer (xALT); autologous hematopoietic stem cells (HSCs); tetanus/diphtheria toxoid (Td)

**Figure 2. Schematic of DC vaccine generation being tested in clinical trials.** After tumor resection, tumor cells are used to obtain the lysate or to extract its RNA. Autologous DCs are obtained by isolation of peripheral blood mononuclear cell by leukapheresis and *ex vivo* differentiation into monocytic-derived DC. DC could be pulsed with autologous or allogeneic tumor lysate, TT-RNA, TAA or TSA peptides or with neo-antigens. DCV therapy is combined with adjuvants like GM-CSF, Td or TLR agonist to improve its effect. Combination with the SOC and/or non-standard therapies are being assessed in ongoing CTs.

**Figure 3**



**Figure 3: Bicompartmental polymeric particles.** A.-C.) Confocal microscopy images of particles where A. shows the PLGA compartment, B. is the PLGA acetal-modified dextran compartment, and C. shows both compartments. D. Scanning Electron Microscopy image. Scale bar: 10  $\mu$ M. Adapted from [268].